

Semiclassical Einstein equations and non-commutative spacetimes

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Plan of the talk

- Uncertainties for the coordinates in a generic spherically symmetric space.
- Well posedness of semiclassical Einstein equations in cosmology.
- Existence and Uniqueness of their solutions.

This talk is based on

- S. Doplicher, G. Morsella, NP, in preparation (2011)
- C. Dappiaggi, K. Fredenhagen, NP PRD **77**, 104015 (2008)
- C. Dappiaggi, NP, V. Moretti, CMP **285**, 1129-1163 (2009)
- C. Dappiaggi, NP, V. Moretti, JMP **50**, 062304 (2009)
- NP, CMP (2011)

- In [DFR 95] the authors find the commutation rules among the coordinates

$$[q^\mu, q^\nu] = iQ^{\mu\nu}$$

compatible with the following uncertainty relations

$$\Delta x_0 (\Delta x_1 + \Delta x_2 + \Delta x_3) \geq \lambda_P^2,$$

$$\Delta x_1 \Delta x_2 + \Delta x_2 \Delta x_3 + \Delta x_3 \Delta x_1 \geq \lambda_P^2$$

which are obtained using the following:

Minimal Principle (P0):

We cannot create a singularity just observing a system.

- Together with the **Heisenberg principle (HP)** (valid in Minkowski).
- The uncertainties are tailored to the flat spacetime.
- On a curved spacetime we have to **replace** it with something else.
- We use **QFT on CST** and their comm. rel. in combination with **P0**.
- We shall perform such analysis on a spherically symmetric space.

Spherically symmetric case

- Spacetime is $\mathbb{R}^2 \times \mathbb{S}^2$, **retarded coordinates**: spanned by future null geodesic emanated from the center of the sphere
 - u the time on the worldline line of the center of \mathbb{S}^2
 - s affine parameter along the null geodesics with $s(0) = 0$ and $\dot{s}(0) = 1$.

$$ds^2 := -Adu^2 - 2dsdu + r^2 d\mathbb{S}^2$$

- Classical collapse of a massless **scalar field** has been studied by Christodoulou.

$$\square\phi = 0$$

- He has given a condition for the **“energy content”** on an initial null cone \mathcal{C}_0 which implies the formation of a singularity inside of the cone.

$$T_{ss} = \partial_s\phi\partial_s\phi$$

Classical condition

Proposition

Consider a region of the initial null cone \mathcal{C}_0 contained within the two spherical sections determined by r_1 and r_2 . If

$$\frac{r_2}{r_1} \in (1, 3/2) \quad \text{and} \quad \frac{2(m_2 - m_1)}{r_2} \geq 1$$

$J^+\mathcal{C}_0$, the causal future of \mathcal{C}_0 , contains a spacelike singularity.

Suppose $\frac{s_2}{s_1} < 3/2$, then it holds that

$$\frac{m_2 - m_1}{r_2} \geq \pi \int_{s_1}^{s_2} s \partial_s \phi \partial_s \phi \, ds = \pi \int_{s_1}^{s_2} s T_{ss} \, ds$$

thus, if

$$\int_{s_1}^{s_2} s \partial_s \phi \partial_s \phi \, ds \geq \frac{1}{2\pi}$$

a singularity appears in the interior of \mathcal{C}_0

Quantum constraint

Take a quantum state ω , after measuring $\phi(f)$ the state is

$$\omega_f(A) := \frac{\omega(\phi(f) A \phi(f))}{\omega(\phi(f)\phi(f))}.$$

Expectation values change accordingly

$$\langle Q \rangle_{f,0} := \omega_f(Q) - \omega(Q).$$

in particular we get

$$\langle \phi(x)\phi(x) \rangle_{f,0} \geq \frac{|E(f, x)|^2}{\omega_2(f, f)}.$$

- Quantize the “**initial conditions**” and use the “**classical dynamics**”.
- The restriction of a quantum theory on a null cone \mathcal{C}_0 is well defined.
- It is a sort of “**Minimal**” semiclassical description.

On \mathcal{C}_0 using only the form of E and the $|\omega_2(f, f)| \leq \|f\|_2 \|\partial f\|_2$.

$$\int_{s_1}^{s_2} s \langle T_{ss} \rangle_{f,0} ds \geq \frac{\lambda_P^2}{s_2^2}$$

Combining it with the classical results, we have BH formation if

$$\frac{\lambda_P^2}{s_2^2} \geq \frac{1}{2\pi}$$

Notice that the support of f in the **detector** $\phi(f)$ on \mathcal{C}_0 extends up to s_2 .

Proposition

If we use a detector supported in a sphere determined by s_2 we create a BH

It is an estimate of the **detector resolution**.

Now using **(P0)** denying the proposition we get

$$\Delta s \gtrsim \lambda_P$$

which is compatible with the results in [\[DFR\]](#) valid for the flat case

$$\Delta s^2 \gtrsim \Delta t \Delta r \gtrsim \lambda_P^2$$

see also [\[Tomassini Viaggiu\]](#)

Semiclassical equations in cosmology

To improve the results treat the backreaction on the whole spacetime. We shall analyze this problem assuming: **homogeneity** and **isotropy**.

- a spacetime $M = (I \times S, g)$
 - $I \subset \mathbb{R}$ “cosmological time”
 - S is a 3d manifold: the “space”.
- **Friedmann Robertson Walker** metric

$$g = -dt^2 + a^2(t) \left[\frac{dr^2}{1 - \kappa r^2} + r^2 dS^2(\theta, \varphi) \right].$$

- Dynamical degree of freedom $a(t)$.
 - $\kappa \simeq 0 \implies$ Conformally Flat.
- Recent observations:
 - $a(t) = e^{Ht}$ the **Hubble parameter** H (very small, positive, almost constant).
- Once an initial condition is fixed, Einstein eq. are equivalent to

$$-R = 8\pi \langle T \rangle, \quad \nabla^a \langle T_{ab} \rangle = 0.$$

- Init. cond. $G_{00} - 8\pi \langle T_{00} \rangle = 0$ is satisfied up to some radiation.

Let us assume this point of view and consider a very simple matter model

$$P\varphi = 0, \quad P = -\square + \xi R + m^2.$$

- The **quantization** is solved once you give $\mathcal{A}(M)$ and a state ω , described by the class of n -point functions (correlation functions).

$$\langle \varphi(x_1) \dots \varphi(x_n) \rangle_\omega = \omega_n(x_1, \dots, x_n) \quad \omega_n \in \mathcal{D}'(M^n).$$

- T_{ab} shows products of fields evaluated at the same point (**divergent**).
- In Minkowski these are “cured” subtracting the **vacuum**.

Question

What plays the role of the vacuum in a curved spacetime?

- Remnant of the **Spectrum Condition** (“no $k_0 < 0$ in $\widehat{\omega}_2(\mathbf{k})$ ”)
- It has to be a **local and covariant condition**
- **Idea:** look at the directions in T^*M^2 of **non rapid decrease** common to **all localized distributions** $\widehat{\omega}_2 f_{x_1, x_2}(k_1, k_2)$
- Formalized using Hörmander **microlocal analysis**

Microlocal spectrum condition

Definition

$\omega_2 \in \mathcal{D}'(M^2)$ satisfies the **microlocal spectrum condition** (μSC) if

$$\text{WF}(\omega_2) = \{(x_1, x_2, k_1, k_2) \in T^*M^2 \setminus \{0\} \mid (x_1, k_1) \sim (x_2, k_2), k_1 \triangleright 0\}.$$

like the Minkowski vacuum

The Hadamard parametrix

Theorem (Radzikowski)

A state ω_2 satisfies $\mu SC \iff \omega_2$ is of Hadamard form:

$$\omega_2 = \mathcal{H} + W \quad \mathcal{H} = \lim_{\epsilon \rightarrow 0^+} \frac{U}{\sigma_\epsilon} + V \log \left(\frac{\sigma_\epsilon}{\mu^2} \right)$$

\mathcal{H} depends on the **local geometry** and on μ only.

Regularization: subtract \mathcal{H} from ω_2 .

Regularization and stress tensor

We shall use the following stress tensor (with $\xi = 1/6$) [\[Moretti 03\]](#)

$$T_{ab} := \partial_a \varphi \partial_b \varphi - \frac{1}{6} \left[g_{ab} (\partial_c \varphi \partial^c \varphi + (m^2 + R) \varphi^2) - R_{ab} \varphi^2 + \nabla_a \partial_b \varphi^2 \right]$$

Hence, on some Hadamard state ω

$$\langle T_{ab} \rangle_\omega = \lim_{x \rightarrow y} D_{ab}(\omega_2 - \mathcal{H})$$

In the considered procedure there is some freedom

- \mathcal{H} is **not** uniquely defined, it is known **up to some smooth terms**
- Local fields are **not** invariant \implies determined up to local counterterms **ambiguities (renormalization freedom)**.
- The ambiguities have been studied and classified by [\[Hollands Wald\]](#)

Conservation equations for T_{ab} are satisfied: $\nabla_a \langle T^a_b \rangle_\omega = 0$
but (un)-fortunately the **trace** is different from the classical one.

$$\langle T \rangle_\omega := \frac{2[v_1]}{8\pi^2} + \left(-3 \left(\frac{1}{6} - \xi \right) \square - m^2 \right) \langle \varphi^2 \rangle_\omega.$$

More precisely ($\xi = 1/6$) [\[Wald 1978\]](#)

$$2[v_1] = \frac{1}{360} \left(C_{ijkl} C^{ijkl} + R_{ij} R^{ij} - \frac{R^2}{3} + \square R \right) + \frac{m^4}{4}.$$

The renormalization freedom for T is

$$\langle T' \rangle_\omega = \langle T \rangle_\omega + \alpha m^2 R + \beta m^4 + \gamma \square R.$$

- In $\langle T \rangle_\omega$, three contributions: $T_{anomalies} + T_{ren.freedom} + T_{state}$.
- Cancel $\square R$ from the trace \implies Wald's fifth axiom holds for T .
- We can **not** cancel $T_{anomalies}$ completely.
- $T_{anomalies}$ is **not** a mixture of perfect fluids: $\rho = H^4$
- Similarities with $f(R)$ gravity. **But** $f(R) \implies$ unstable solutions.

Massive model

With $\kappa = 0$ and $\xi = 1/6$, the equation $-R = 8\pi\langle T \rangle$ becomes

$$-6 \left(\dot{H} + 2H^2 \right) = -8\pi m^2 \langle \varphi^2 \rangle_\omega - \frac{1}{30\pi} \left(\dot{H}H^2 + H^4 \right) + \frac{m^4}{4\pi}$$

Important: The quantum state enters in the equations via $\langle \varphi^2 \rangle_\omega$

Physical input: We would like to use “**vacuum states**” i.e. $\langle \varphi^2 \rangle_\omega = 0$

Impossible: Adiabatic states, have similar properties

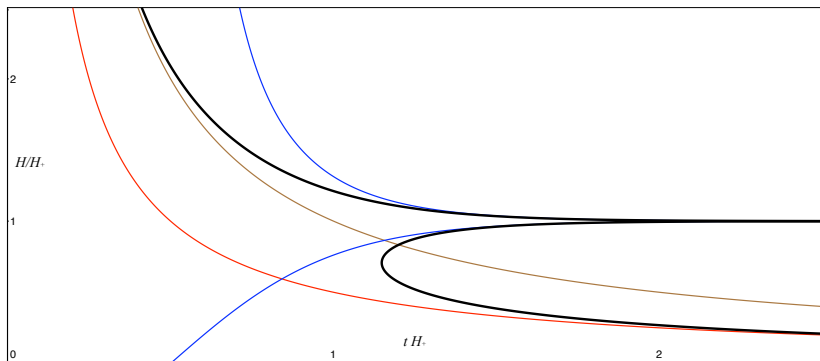
[Parker, Parker Fulling, Lüders Roberts, Junker Schrohe, Olbermann]

Assume (for the moment) $T_{state} = 0$

We have only $T_{anomalies}$ and $T_{ren.freedom} = \alpha R + \beta m^2$

The differential equation is an ordinary one \implies it can be solved

With some choice of α and β $H = 0$ and $H = H_+$ are stable solutions.



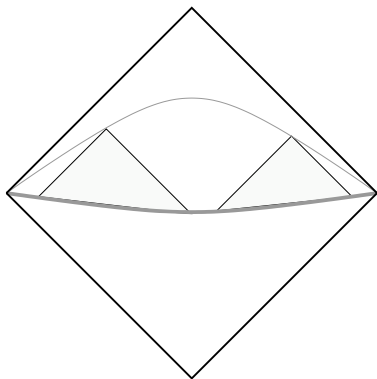
- ($m = 0$) a length scale is introduced (proportional to G).
Two fixed points instead of one. [*Wald 80, Starobinsky 80, Vilenkin 85*]
- Quantum effects are **not negligible** at least in the past.
- ($m \neq 0$) H_+ is a renormalization constant.

Form of the initial singularity

Question

Where is the singularity t_0 in the Penrose diagram?

$$ds^2 = a^2 (-d\tau^2 + dx^2).$$



■ Classical solution

Radiation dominated:

$$\tau = \tau_0 + A(t - t_0)^{1/2} \rightarrow \tau_0$$

for $t \rightarrow t_0$

Horizon problem.

■ Quantum Corrections

$$\rho = 1/a(t)^2 :$$

$$\tau = \tau_0 + \log(t - t_0) \rightarrow -\infty$$

for $t \rightarrow t_0$

Singularity is light like.

Power law inflation with

Null Big Bang $\mathcal{S}^- \cup i^-$

Existence and uniqueness of solutions at small time

- $\omega_{1,0}$ is an **asymptotic vacuum**. (Initial conditions of the problem)
- We search for solutions of $-R = 8\pi\langle T \rangle_{\omega_{1,0}}$ near **NBB** \mathfrak{S}^- .
- Indicating by $X := H^{-1}$, we rewrite the equation as:

$$\frac{dX}{dt} = 1 - \frac{X^2}{X_c^2 - X^2} + m^2 \frac{C X^4}{X_c^2 - X^2} \langle \varphi^2 \rangle_{\omega_{1,0}}.$$

- It is **not** an ordinary differential equation.
- $\langle \varphi^2 \rangle_{\omega_{1,0}}$ is a functional of $X = H^{-1}$.
- To get existence of sol. \implies show that $X := \mathcal{T}(X)$. A **fixed point** for

$$\mathcal{T}(X) = \int_0^t \left[\frac{X_c^2 - 2X^2}{X_c^2 - X^2} + Cm^2 \frac{X^4}{X_c^2 - X^2} \langle \varphi^2 \rangle_{\omega_{1,0}} \right] dt'$$

- Prove that \mathcal{T} is a **contraction map** on $B_c \subset \mathcal{B}$ then use **Banach fixed point theorem**. ▶ Def.

On $B_c \subset \mathcal{B}$ we have a well posed initial value problem

Theorem

For a sufficiently small t_0 , \mathcal{T} is a contraction on B_c . Thus it exists one and only one X in B_c for which

$$X = \mathcal{T}(X)$$

- Proof: We have to better analyze $\langle \varphi^2 \rangle_{\omega_{1,0}}$ and its first func. derivative

$$\langle \varphi^2 \rangle_{\omega_{1,0}} := \frac{1}{2\pi^2 a^2} \int_0^\infty k^2 dk \left[\bar{\chi}_k \chi_k - \Theta(k - ma) \left(\frac{1}{2k} - \frac{m^2 a^2}{4k^3} \right) \right]$$

$$\chi_k'' + (k^2 + m^2 a^2) \chi_k = 0$$

▶ Sketch of proof

Some comments

- The found solution is C^2 .
- **But** there are smooth spacetimes as close as you want to that solution.
- The existence **does not depend on the state**, in the sense the theorem holds also for other initial conditions on \mathfrak{S}^- provided the state is Hadamard.
- All these solutions show a typical phase of **power law inflation** which is then **state independent**.
- When smeared on constant time surfaces $\Delta_{\omega_{1,0}} T = 0$. [▶ Proof](#)

Summary

- Semiclassical Backreaction can be used to constraint the **non commutativity**.
- Semiclassical solutions of Einstein's equations **can be found**.
- Some of their physical properties **do not depend** on the homogeneous state

Open Questions

- Is it possible to combine both results?
- Can we say something for the generic case?

Thanks a lot for your attention!

Homogeneous Hadamard states in cosmological spacetime

- The **pure, homogeneous** and **isotropic** [*Lüders Roberts*]

$$\omega_2(x, y) := \frac{1}{(2\pi)^3} \int_{\mathbb{R}^3} \frac{\overline{T_k}(x_0)}{a(x_0)} \frac{T_k(y_0)}{a(y_0)} e^{ik \cdot (x-y)} d\mathbf{k} ,$$

T_k is a smooth function of τ , such that $\overline{T_k} T'_k - \overline{T'_k} T_k = i$ and

$$T''_k(\tau) + (m^2 a(\tau)^2 + k^2) T_k(\tau) = 0.$$

- Consider **incoming plane waves** $\chi_k(\tau) \sim \frac{e^{-ik\tau}}{\sqrt{2k}}$ for $\tau \rightarrow -\infty$.
- Every $T_k := A(k)\chi_k + B(k)\overline{\chi}_k$ with $|A(k)|^2 - |B(k)|^2 = 1$.
- The state depends upon A and B . We indicate it as ω_{AB} .

Analysis of φ^2

▶ back

$$\langle \varphi^2(x) \rangle_{\omega_{1,0}} = \lim_{y \rightarrow x} [\omega_{1,0}(x, y) - \mathcal{H}(x, y)] + \alpha R + \beta m^2$$

Prescription for fixing the renormalization freedom:

- Minkowski spacetime on Minkowski vacuum, fixes β .
- α changes the value of H_c or $X_c \implies H_c$ **is a ren. constant**

We regularize on Minkowski spacetime the problem $-\square_{\mathbb{M}}\tilde{\varphi} + (ma)^2\tilde{\varphi} = 0$

$$\lim_{y \rightarrow x} \mathcal{H}(y, x) - \frac{1}{a(\tau_x)a(\tau_y)} \mathcal{H}_{\mathbb{M}}(y, x) = \frac{m^2}{8\pi^2} \log a + \alpha' R .$$

Other reg. scheme

Point splitting at fixed time, then it is enough to subtract

$$\mathcal{H}_{\mathbb{M}}^0(y, x) := \frac{1}{(4\pi)^2} \left(\frac{2}{\sigma_\epsilon} + m^2 a(\tau_x)^2 \log \left(\frac{\sigma_\epsilon}{\lambda^2} \right) \right)$$

Comparison with the first order adiabatic approximation

$$\mathcal{H}_{\mathbb{M}}^0(y, x) - \frac{1}{(2\pi)^3} \int \frac{e^{ik(y-x)}}{2\sqrt{\mathbf{k}^2 + m^2 a(\tau)^2}} d^3\mathbf{k}$$

is a continuous function

$$\langle \varphi^2 \rangle_{\omega_{1,0}} := \frac{1}{2\pi^2 a^2} \int_0^\infty k^2 dk \left[\bar{\chi}_k \chi_k - \Theta(k - ma) \left(\frac{1}{2k} - \frac{m^2 a^2}{4k^3} \right) \right] - \frac{m^2}{8\pi^2} + \alpha R,$$

Construction of the χ

$$\chi_k'' + (k^2 + m^2 a^2)\chi = 0$$

Perturbative const. over the massless solution $\chi_k^0(a, \tau)(t) = \frac{e^{-ik\tau(t)}}{\sqrt{2k}}$

$$\chi_k = \sum_{n=0}^{\infty} \chi_k^n$$

$$\chi_k^n(t) = - \int_0^t \frac{\sin(k(\tau - \tau'))}{k} a(t') m^2 \chi_k^{n-1}(t') dt' ,$$

Proposition

The series converges absolutely on $[0, t_0]$, and

$$|\chi_k| \leq \frac{1}{\sqrt{2k}} \exp\left(\frac{m^2 a(t)t}{k}\right), \quad |\chi_k| \leq \frac{1}{\sqrt{2k}} \exp(m^2 t^2) .$$

Every χ_k^n is $O(m^{2n})$ [▶ back](#)

Analysis of the fluctuations

The solution is meaningful provided the variance of T_μ^μ is small

- The anomaly is a C -number
- The variance of $\langle \varphi^2 \rangle$

$$\Delta_\omega(\varphi^2) := \omega(\varphi^2 \varphi^2) - \omega(\varphi^2)\omega(\varphi^2)$$

diverges: it is proportional to $\omega_2 \cdot \omega_2(x, x)$

When smeared the situation is better, consider the family centered in x_τ

$$f_{n_1, n_2}(\tau', \mathbf{x}) = \frac{n_1}{n_2^3} f \left(n_1(\tau' - \tau) + \tau, \frac{\mathbf{x}}{n_2} \right)$$

where

$$f(x_\tau) = 1, \quad \int_M f d\mu(g) = 1, \quad f \geq 0$$



We study the limit

$$\lim_{n_1 \rightarrow \infty} \lim_{n_2 \rightarrow \infty} [R(f_{n_1, n_2}) + 8\pi \langle T \rangle_\omega(f_{n_1, n_2})] = R(x_T) + 8\pi \langle T \rangle_\omega(x_T)$$

Theorem

We have

$$\lim_{n_2 \rightarrow \infty} \Delta_{\omega_{1,0}}(\varphi^2(f_{n_1, n_2})) = 0.$$

- In a **weaker** sense, the solution we have found is meaningful also when H is very large.

▶ back

Comparison with the Λ CDM model

- The late time behavior is **not** under control \implies some assumptions

Before “local vacuum”: $\langle \varphi^2 \rangle_\omega \sim 0$ with certain α and β

Now “local thermal state”: $\langle \varphi^2 \rangle_\omega \sim \frac{T^3}{a^3} + O\left(\frac{1}{a^5}\right)$

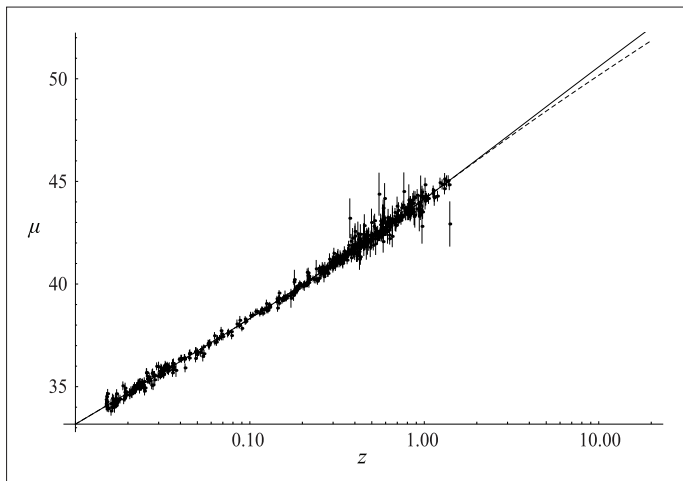
(A minimal model with two fields a massive scalar field a massless one)

$$H^2 = H_*^2 \pm \sqrt{H_*^4 - \frac{C_1}{a^4} - C_2 - C_3 \frac{T^3}{a^3}}$$

- lower branch if H_*^4 is very large we get Λ CDM plus quantum correction
- upper branch looks crazy (*the energies appear with negative sign*)
- Phenomenological law for the luminosity distance μ (*spatial distance*) w.r.t. red-shift $z = \frac{1}{a} - 1$ (*temporal distance*) for the SN1a explosions.

$$\mu(z) = 5 \log \left((1+z) \int_0^z \frac{1}{H(z')} dz' \right) + K$$

- Compare it with observations: best fit is obtained by minimizing χ^2 .



Union2 supernova compilation [*Amanullah et al. 2010*]