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## **BOSON GAS with BCS INTERACTION**

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*(Based on joint works with Joe Pulé - UC Dublin, and André Verbeure - KU Leuven)*

## 0. Introduction, Motivation and Pair Boson Model

- The first version of Hamiltonian with BCS-Bose interaction, (**Pair Boson Hamiltonian (PBH)**, or **BCS-Bose model**) was proposed by *Zubarev* and *Tserkovnikov* [Sov.Phys.Acad.Docl.1958]. Intention was to *generalize* the Bogoliubov model of the **Weakly Imperfect Bose Gas** by including more terms of the total interaction, without losing the possibility of having an "exact" solution.
- Two-body  $v(x - y)$  interacting Bose-gas in  $\Lambda \subset \mathbb{R}^\nu$ :

$$H_\Lambda = \sum_{k \in \Lambda^*} \varepsilon(k) a_k^* a_k + \frac{1}{2V} \sum_{k_1, k_2, q \in \Lambda^*} \hat{v}(q) a_{k_1+q}^* a_{k_2-q}^* a_{k_2} a_{k_1},$$

- **Truncations:** (a) **WIBG "2-zero-momentum" BEC cut:**  $\{k_1 = k_2 = 0\}$ ,  $\{k_1 = -q, k_2 = q\}$ ,  $\{k_1 = 0, k_2 = q\}, \dots, \{k_{1,2} = q = 0\}$ .  
 (b) **"BCS" 1-constraint:**  $\{k_1 = -k_2 = p\}$  ( $p = 0$  cut  $\Rightarrow$  WIBG)  $\Rightarrow$

$$\frac{1}{2V} \sum_{p, p' \in \Lambda^*} \hat{v}(p - p') a_{p'}^* a_{-p'}^* a_{-p} a_p \quad (\text{If } \hat{v}(p - p') \Rightarrow \overline{\lambda}_p \lambda'_p = \text{BCS - Bose})$$

## 1.1 BCS-Bose Hamiltonian

• Let  $\Lambda \subset \mathbb{R}^\nu$  be a cube of volume  $V = |\Lambda|$  centered at the origin. The kinetic energy operator for a particle of mass  $m$  enclosed into the cubic box  $\Lambda$ , is a self-adjoint extension of the operator  $t_\Lambda = (-\Delta/2m)$  in  $\mathfrak{H}_\Lambda = L^2(\Lambda)$  with **e.g., periodic boundary conditions**, i.e. with eigenvalues and eigenfunctions:

$$\varepsilon(k) = \|k\|^2/2m, \quad f_k(x) = e^{ikx}/\sqrt{V}, \quad k \in \Lambda^* := \{2\pi s/V^{1/\nu} | s \in \mathbb{Z}^\nu\}.$$

• Let  $f_k \mapsto a^*(f_k)(= a_k^*)$  and  $f_k \mapsto a(f_k)(= a_k)$  be **CCR representation** by the creation and annihilation operators in the **boson Fock space**  $\mathfrak{F}(\mathfrak{H}_\Lambda)$ :  $[a^*(f_k), a(f_{k'})] = (f_k, f_{k'})_{\mathfrak{H}_\Lambda}$ . Then  $N_k := a_k^* a_k$  is the  $k$ -mode particle number operator and  $N_\Lambda := \sum_{k \in \Lambda^*} N_k$  is the total number operator. The kinetic-energy operator  $T_\Lambda := d\Gamma(t_\Lambda)$  (*Perfect Bose-gas Hamiltonian*), is

$$T_\Lambda := \sum_{k \in \Lambda^*} \varepsilon(k) a_k^* a_k.$$

- To introduce a *pairing term* in the **BCS-Bose Hamiltonian** we need the **operators**  $A_k = A_{-k} := a_k a_{-k}$ ,  $k \in \Lambda^*$  and

$$\tilde{Q}_\Lambda := \sum_{k \in \Lambda^*} \tilde{\lambda}(k) A_k.$$

- The function  $\tilde{\lambda} : \mathbb{R}^\nu \mapsto \mathbb{C}$  satisfies the following **conditions**:

$$|\tilde{\lambda}(k)| \leq |\tilde{\lambda}(0)| = 1, \quad \tilde{\lambda}(k) = \tilde{\lambda}(-k) \quad \text{for all } k \in \mathbb{R}^\nu,$$

and there exists  $\mathfrak{C} < \infty$ ,  $\delta > 0$  such that

$$|\tilde{\lambda}(k)| \leq \frac{\mathfrak{C}}{1 + \|k\|^{\max(\nu, \nu/2+1)+\delta}}.$$

This implies that  $\tilde{\lambda} \in L^1(\mathbb{R}^\nu)$  and existence of  $M < \infty$  that

$$\mathfrak{m}_\Lambda := \sum_{k \in \Lambda^*} |\tilde{\lambda}(k)| \leq MV, \quad \mathfrak{n}_\Lambda := \sum_{k \in \Lambda^*} \varepsilon(k) |\tilde{\lambda}(k)|^2 \leq MV,$$

$$\mathfrak{c}_\Lambda := \sup_{k \in \Lambda^*} \varepsilon(k) |\tilde{\lambda}(k)|^2 \leq M.$$

- The *constant* couplings  $u, v$  **Pair Boson Hamiltonian** is :

$$H_\Lambda := T_\Lambda - \frac{u}{2V} \tilde{Q}_\Lambda^* \tilde{Q}_\Lambda + \frac{v}{2V} N_\Lambda^2.$$

- Let  $\varphi := \arg \tilde{\lambda}(0)$  and  $\lambda(k) := \tilde{\lambda}(k)e^{-i\varphi}$ . Then  $\lambda(0) = 1$  and

$$H_\Lambda = T_\Lambda - \frac{u}{2V} Q_\Lambda^* Q_\Lambda + \frac{v}{2V} N_\Lambda^2$$

with  $Q_\Lambda := \sum_{k \in \Lambda^*} \lambda(k) A_k$ , where  $|\lambda(k)| \leq \lambda(0) = 1$ .

- We shall assume that  $v > 0$  and  $\alpha := v - u > 0$ . This condition ensures the **(super)stability** of the model.

- **REMARK:** In the case  $u \leq 0$  (**BCS repulsion**), the second condition  $\alpha > 0$  is satisfied and the **PBH** gives the **same thermodynamics** as the **Mean-Field** (MF) Bose-gas Hamiltonian:

$$H_\Lambda^{MF} := T_\Lambda + \frac{v}{2V} N_\Lambda^2,$$

but **not** the same **Bose-Einstein Condensation** (BEC) !!!

## 1.2 Thermodynamics of the BCS-Bose Hamiltonian

- The grand-canonical pressure corresponding to Hamiltonian  $H_\Lambda$

$$p_\Lambda[H_\Lambda] := \frac{1}{\beta V} \ln \text{Tr} \exp \{-\beta(H_\Lambda - \mu N_\Lambda)\}.$$

- **Theorem 1:** The limiting pressure for the PBH model with  $u > 0$  (BCS attraction) has the form

$$p := \lim_\Lambda p_\Lambda[H_\Lambda] = \sup_{q \geq 0} \inf_{\rho: \sigma(q, \rho) \geq 0} p[H_\Lambda^{(2)}(q, \rho)],$$

while with  $u \leq 0$  (BCS repulsion) it has the form

$$p := \lim_\Lambda p_\Lambda[H_\Lambda] = \inf_{q \geq 0} \inf_{\rho: \sigma(q, \rho) \geq 0} p[H_\Lambda^{(2)}(q, \rho)],$$

$$H_\Lambda^{(2)}(q, \rho) := T_\Lambda + v\rho N_\Lambda - \frac{1}{2}u(Q_\Lambda^* q + Q_\Lambda q^*) - \frac{V}{2}v\rho^2 + \frac{V}{2}u|q|^2,$$

where  $q \in \mathbb{C}$ ,  $\rho \in \mathbb{R}_+$  and the function:

$$\sigma(q, \rho) := \inf_{k \in \mathbb{R}^\nu} (f(k, \rho) - |h(k, q)|) = v\rho - \mu - |u|q.$$

## 2.1 CCR and Quasi-Free States

- Let  $f \mapsto b(f) := a(f) + a^*(f)$  on the Fock space  $\mathfrak{F}(L^2(\mathbb{R}^\nu))$  and (*Weyl operators*)  $W(f) := \exp(ib(f))$ . Then CCR take the form

$$W(f)W(g) = e^{-i\sigma(f,g)}W(f+g), \quad \sigma(f,g) = \Im(f,g).$$

- *Truncated states* on  $\mathfrak{A}(b)$  are defined recursively:

$$\begin{aligned} \omega(b(f))_t &= \omega(b(f)), \quad \omega(b(f)b(g)) = \omega(b(f)b(g))_t + \omega(b(f))_t\omega(b(g))_t, \\ \omega(b(f_1)\dots b(f_n)) &= \sum \omega(b(f_k)\dots)_t \dots \omega(\dots b(f_l))_t, \end{aligned}$$

- *Quasi-Free states (QF)* on the Weyl algebra  $\mathfrak{A}(b)$  are :

$$\omega(W(f)) = \exp\{i\omega(b(f)) - \frac{1}{2}\omega(b(f)b(f))_t\}.$$

For them all truncated functions of order  $n > 2$  vanish:

$$\phi(f) = \omega(a^*(f)), \quad \langle f, Rg \rangle = \omega(a^*(f)a(g)) - \omega(a^*(f))\omega(a(g)), \quad \langle f, Sg \rangle = \omega(a(f)a(\bar{g})) - \omega(a(f))\omega(a(\bar{g})).$$

## 2.2 Equilibrium States of the BCS-Bose Hamiltonian

• **Theorem 2:** Pure Gibbs state  $\omega_\alpha(-) = \lim_\Lambda \omega_{H_\Lambda}(-)$  generated by the BCS-Bose Hamiltonian is *Quasi-Free* with parameters defined by **Theorem 1**:  $c = \sqrt{\rho_0} e^{i\alpha} = \lim_\Lambda \omega_{H_\Lambda}(a_{k=0}/\sqrt{V})$

$$\omega(a_k^* a_k) = \langle f_k, R f_k \rangle + |c|^2 V \delta_{k,0}, \quad \omega(a_k a_{-k}) = \langle \phi_k, S \phi_k \rangle + c^2 V \delta_{k,0},$$

for  $V \rightarrow \infty$  with  $\rho(k) = r(k)$ ,  $\bar{\rho} = \int dk \rho(k) + \rho_0$  and  $\sigma = \int dk \lambda(k) s(k)$ .

• Since  $f(k) = \epsilon(k) - \mu + v\bar{\rho}$ ,  $E(k) = \left\{ f^2(k) - u^2 |\lambda(k)|^2 (\rho_0 + |\sigma|)^2 \right\}^{1/2}$ .

$$\bar{\rho} = \frac{1}{2} \int_{\mathbb{R}^\nu} \frac{d^\nu k}{(2\pi)^\nu} \left\{ \frac{f(k)}{E(k)} \coth \frac{1}{2} \beta E(k) - 1 \right\} + \rho_0,$$

$$(|\sigma| + \rho_0) = \frac{u(|\sigma| + \rho_0)}{2} \int_{\mathbb{R}^\nu} \frac{d^\nu k}{(2\pi)^\nu} \frac{|\lambda(k)|^2}{E(k)} \coth \frac{1}{2} \beta E(k) + \rho_0.$$

• Chemical potential and the "spectrum":

$$\mu = v\bar{\rho} + u(\rho_0 + |\sigma|) \Leftrightarrow E(k=0) = 0.$$



### 3. Condensations, Pairing and Type III BEC

**3.1** Let  $u > 0$  (BCS-Bose **attraction**),  $v > 0$  and  $v - u > 0$  (**stability**).

- For *large*  $u$  there is  $\mu_c^-(u) < \mu_c$ :  $\sigma \neq 0$ ,  $\rho_0 = 0$  and "gap", when  $\mu_c^-(u) < \mu < \mu_c = v\bar{\rho}_c^{PBG}$ .
- For  $\mu_c \leq \mu$ :  $\rho_0 > 0$  (**one-mode BEC**)  $\Rightarrow \sigma \neq 0$  and no "gap".

**3.2** Let  $u < 0$  (BCS-Bose **repulsion**).

- Pressure for BCS-Bose = Mean-Field model ( $v > 0$ ).
- Condensations:  $\sigma = 0$  and  $\rho_0 = 0$  !
- BEC  $\neq 0$  for  $\mu > \mu_c = v\bar{\rho}_c^{PBG}$  and is type III.