# Uniform Stabilization of a family of Boussinesq systems

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In collaboration with Sorin Micu - University of Craiova (Romania)

Supported by Agence Universitaire de la Francophonie

- Description of the model: a family of Boussinesq systems
- Setting of the problem: stabilization of a coupled system of two Benjamin-Bona-Mahony (BBM) equations
- Main results
- Main Idea of the proofs
- Remarks and open problems

The BBM equation

$$u_t + u_x - u_{xxt} + uu_x = 0, (1)$$

was proposed as an alternative model for the Korteweg-de Vries equation  $({\rm KdV})$ 

$$u_t + u_x + u_{xxx} + uu_x = 0, (2)$$

to describe the propagation of one-dimensional, unidirectional small amplitude long waves in nonlinear dispersive media.

• u(x,t) is a real-valued functions of the real variables x and t.

In the context of shallow-water waves, u(x,t) represents the displacement of the water surface at location x and time t.

## The Boussinesq system

J. L. Bona, M. Chen, J.-C. Saut - J. Nonlinear Sci. 12 (2002).

$$\begin{cases} \eta_t + w_x + (\eta w)_x + a w_{xxx} - b \eta_{xxt} = 0 \\ w_t + \eta_x + w w_x + c \eta_{xxx} - d w_{xxt} = 0, \end{cases}$$
(3)

The model describes the motion of small-amplitude long waves on the surface of an ideal fluid under the gravity force and in situations where the motion is sensibly two dimensional.

 $\eta$  is the elevation of the fluid surface from the equilibrium position;  $w = w_{\theta}$  is the horizontal velocity in the flow at height  $\theta h$ , where h is the undisturbed depth of the liquid;

a, b, c, d, are parameters required to fulfill the relations

$$a + b = \frac{1}{2} \left( \theta^2 - \frac{1}{3} \right), \qquad c + d = \frac{1}{2} (1 - \theta^2) \ge 0,$$

where  $heta \in [0,1]$  specifies which velocity the variable w represents.

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The Boussinesq system posed on a bounded interval:

- A. Pazoto and L. Rosier, Stabilization of a Boussinesq system of KdV-KdV type, System and Control Letters 57 (2008), 595-601.
- R. Capistrano Filho, A. Pazoto and L. Rosier, Control of Boussinesq system of KdV-KdV type on a bounded domain, Preprint.

The Boussinesq system posed on the whole real axis:  $(-\eta_{xx},-w_{xx})$ 

 M. Chen and O. Goubet, Long-time asymptotic behavior of dissipative Boussinesq systems, Discrete Contin. Dyn. Syst. Ser. 17 (2007), 509-528.

The Boussinesq system posed on a periodic domain:

 S. Micu, J. H. Ortega, L. Rosier and B.-Y. Zhang, Control and stabilization of a family of Boussinesq systems, Discrete Contin. Dyn. Syst. 24 (2009), 273-313. The Boussinesq system posed on a bounded interval:

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## Controllability and Stabilization

• S. Micu, J. H. Ortega, L. Rosier, B.-Y. Zhang - Discrete Contin. Dyn. Syst. 24 (2009).

 $b,d\geq 0,a\leq 0,c\leq 0 \quad \text{ or } \quad b,d\geq 0,a=c>0.$ 

$$\begin{cases} \eta_t + w_x + (\eta w)_x + aw_{xxx} - b\eta_{xxt} = f(x,t) \\ w_t + \eta_x + ww_x + c\eta_{xxx} - dw_{xxt} = g(x,t) \end{cases}$$

where  $0 < x < 2\pi$  and t > 0, with boundary conditions

$$\frac{\partial^r \eta}{\partial x^r}(0,t) = \frac{\partial^r \eta}{\partial x^r}(2\pi,t), \quad \frac{\partial^r w}{\partial x^r}(0,t) = \frac{\partial^r w}{\partial x^r}(2\pi,t)$$

and initial conditions

$$\eta(x,0) = \eta^0(x), \quad w(x,0) = w^0(x).$$

• f and g are locally supported forces.

## Periodic boundary conditions

For b, d > 0 and  $\beta_1, \beta_2, \alpha_1, \alpha_2 \ge 0$ , we consider the system

$$\eta_t + w_x - b\eta_{txx} + (\eta w)_x + \beta_1 M_{\alpha_1} \eta = 0, w_t + \eta_x - dw_{txx} + ww_x + \beta_2 M_{\alpha_2} w = 0,$$
(4)

with periodic boundary conditions

$$\begin{split} \eta(0,t) &= \eta(2\pi,t); \ \eta_x(0,t) = \eta_x(2\pi,t), \\ w(0,t) &= w(2\pi,t); \ w_x(0,t) = w_x(2\pi,t), \end{split}$$

and initial conditions

$$\eta(x,0) = \eta^0(x), \quad w(x,0) = w^0(x).$$

In (4),  $M_{\alpha_i}$  are Fourier multiplier operators given by

$$M_{\alpha_j}\left(\sum_{k\in\mathbb{Z}}v_ke^{ikx}\right) = \sum_{k\in\mathbb{Z}}(1+k^2)^{\frac{\alpha_j}{2}}\widehat{v}_ke^{ikx}.$$

The energy associated to the model is given by

$$E(t) = \frac{1}{2} \int_0^{2\pi} (\eta^2 + b\eta_x^2 + w^2 + dw_x^2) dx$$
 (5)

and we can (formally) deduce that

$$\frac{d}{dt}E(t) = -\beta_1 \int_0^{2\pi} (M_{\alpha_1}\eta) \eta \, dx - \beta_2 \int_0^{2\pi} (M_{\alpha_2}w) \, w \, dx - \int_0^{2\pi} (\eta w)_x \eta \, dx.$$
(6)

Since  $\beta_1, \beta_2 \ge 0$  and

$$(M_{\alpha_j}v, v)_{L^2(0,2\pi)} \ge 0, \qquad j = 1, 2,$$

the terms  $M_{\alpha_1}\eta$  and  $M_{\alpha_2}w$  play the role of feedback damping mechanisms, at least for the linearized system.

• Applications and study of asymptotic behavior os solutions:

- J. L. Bona and J. Wu, M2AN Math. Model. Numer. Anal. (2000).

 $\int_{\mathbb{T}} M_{\alpha_i} \varphi(x) \varphi(x) dx \ge 0$ 

- J.-P. Chehab, P. Garnier and Y. Mammeri, J. Math. Chem. (2001).
- D. Dix, Comm. PDE (1992).
- C. J. Amick, J. L. Bona and M. Schonbek, Jr. Diff. Eq. (1989).
- P. Biler, Bull. Polish. Acad. Sci. Math. (1984).
- J.-C. Saut, J. Math. Pures et Appl. (1979).
- Fractional derivative (Weyl fractional derivative operator):

$$h(x) = \sum_{k \in \mathbb{Z}} a_k e^{ikx} \Rightarrow W_x^{\alpha}(h)(x) = \sum_{k \in \mathbb{Z}} (ik)^{\alpha} a_k e^{ikx}, \quad \alpha \in (0, 1).$$

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The energy E(t) satisfies

$$\frac{dE}{dt} = -\beta_1 \int_0^{2\pi} (M_{\alpha_1}\eta) \eta \, dx - \beta_2 \int_0^{2\pi} (M_{\alpha_2}w) \, w \, dx - \int_0^{2\pi} (\eta w)_x \eta \, dx,$$

where

$$M_{\alpha_j}v = \sum_{k \in \mathbb{Z}} (1+k^2)^{\frac{\alpha_j}{2}} \widehat{v}_k e^{ikx}.$$

Firstly, we analyze the linearized system:

- $\alpha_1 = \alpha_2 = 2$  and  $\beta_1, \beta_2 > 0 \implies$  the exponential decay of solutions in the  $H^s$ -setting, for any  $s \in \mathbb{R}$ .
- max{α<sub>1</sub>, α<sub>2</sub>} ∈ (0, 2), β<sub>1</sub>, β<sub>2</sub> ≥ 0 and β<sub>1</sub><sup>2</sup> + β<sub>2</sub><sup>2</sup> > 0 ⇒ polynomial decay rate of solutions in the H<sup>s</sup>-setting, by considering more regular initial data.

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For any  $k \in \mathbb{Z}$ , we denote by  $\hat{v}_k$  the k-Fourier coefficient of v,

$$\widehat{v}_k = \frac{1}{2\pi} \int_0^{2\pi} v(x) e^{-ikx} dx,$$

and, for any  $s \in \mathbb{R}$ , we define the space

$$H_{p}^{s}(0,2\pi) = \left\{ v = \sum_{k \in \mathbb{Z}} \widehat{v}_{k} e^{ikx} \in H^{s}(0,2\pi) \ \left| \sum_{k \in \mathbb{Z}} |\widehat{v}_{k}|^{2} (1+k^{2})^{s} < \infty \right. \right\},$$

which is a Hilbert space with the inner product defined by

$$(v,w)_s = \sum_{k \in \mathbb{Z}} \widehat{v}_k \overline{\widehat{w}_k} (1+k^2)^s.$$
(7)

Then,

$$M_{\alpha_j}: H_p^{\alpha_j}(0, 2\pi) \to L^2(0, 2\pi).$$

$$M_{\alpha_j}v = \sum_{k \in \mathbb{Z}} (1+k^2)^{\frac{\alpha_j}{2}} \widehat{v}_k e^{ikx}, \qquad j = 1, 2.$$

# The Linearized System

Since

$$(I - b\partial_x^2)\eta_t + w_x + \beta_1 M_1 \eta = 0,$$
  

$$(I - d\partial_x^2)w_t + \eta_x + \beta_2 M_2 \eta = 0,$$

the linear system can be written as

 $U_t + AU = 0,$  $U(0) = U_0,$ 

where A is given by

$$A = \begin{pmatrix} \beta_1 \left( I - b\partial_x^2 \right)^{-1} M_{\alpha_1} & \left( I - b\partial_x^2 \right)^{-1} \partial_x \\ \\ \left( I - d\partial_x^2 \right)^{-1} \partial_x & \beta_2 \left( I - b\partial_x^2 \right)^{-1} M_{\alpha_2} \end{pmatrix}.$$
 (8)

For  $\alpha>0$ , the operator  $(I-\alpha\partial_x^2)^{-1}$  is defined in the following way:

$$(I - \alpha \partial_x^2)^{-1} \varphi = v \Leftrightarrow \begin{cases} v - \alpha v_{xx} = \varphi & \text{in } (0, 2\pi), \\ v(0) = v(2\pi), & v_x(0) = v_x(2\pi). \end{cases}$$

If we assume that

$$(\eta^0, w^0) = \sum_{k \in \mathbb{Z}} (\widehat{\eta}^0_k, \widehat{w}^0_k) e^{ikx},$$

the solution can be written as

$$(\eta,\omega)(x,t) = \sum_{k \in \mathbb{Z}} (\widehat{\eta}_k(t), \widehat{\omega}_k(t)) e^{ikx},$$

where the pair  $(\widehat{\eta}_k(t), \widehat{w}_k(t))$  fulfills

$$(1+bk^{2})(\widehat{\eta}_{k})_{t} + ik\widehat{w}_{k} + \beta_{1}(1+k^{2})^{\frac{\alpha_{1}}{2}}\widehat{\eta}_{k} = 0,$$
  

$$(1+dk^{2})(\widehat{w}_{k})_{t} + ik\widehat{\eta}_{k} + \beta_{2}(1+k^{2})^{\frac{\alpha_{2}}{2}}\widehat{w}_{k} = 0,$$
 (9)  

$$\widehat{\eta}_{k}(0) = \widehat{\eta}_{k}^{0}, \qquad \widehat{w}_{k}(0) = \widehat{w}_{k}^{0},$$

where  $t \in (0,T)$ .

We set

$$A(k) = \begin{pmatrix} \frac{\beta_1(1+k^2)^{\frac{\alpha_1}{2}}}{1+bk^2} & \frac{ik}{1+bk^2} \\ & & \\ \frac{ik}{1+dk^2} & \frac{\beta_2(1+k^2)^{\frac{\alpha_2}{2}}}{1+dk^2} \end{pmatrix}.$$

Then system (9) is equivalent to

$$\begin{pmatrix} \widehat{\eta}_k \\ \widehat{w}_k \end{pmatrix}_t (t) + A(k) \begin{pmatrix} \widehat{\eta}_k \\ \widehat{w}_k \end{pmatrix} (t) = \begin{pmatrix} 0 \\ 0 \end{pmatrix},$$
$$\begin{pmatrix} \widehat{\eta}_k \\ \widehat{w}_k \end{pmatrix} (0) = \begin{pmatrix} \widehat{\eta}_k^0 \\ \widehat{w}_k^0 \end{pmatrix}.$$

#### Lemma

The eigenvalues of the matrix A are given by

$$\lambda_k^{\pm} = \frac{1}{2} \left( \frac{\beta_1 (1+k^2)^{\frac{\alpha_1}{2}}}{1+bk^2} + \frac{\beta_2 (1+k^2)^{\frac{\alpha_2}{2}}}{1+dk^2} \pm \frac{2|k|\sqrt{e_k^2 - 1}}{\sqrt{(1+bk^2)(1+dk^2)}} \right)$$

,

where

$$e_{k} = \frac{1}{2k} \left( \beta_{1} (1+k^{2})^{\frac{\alpha_{1}}{2}} \sqrt{\frac{1+dk^{2}}{1+bk^{2}}} - \beta_{2} (1+k^{2})^{\frac{\alpha_{2}}{2}} \sqrt{\frac{1+bk^{2}}{1+dk^{2}}} \right),$$

and  $k \in \mathbb{Z}^*$ .

Observe that

$$\lambda_k^{\pm} = \lambda_{-k}^{\pm}.$$

$$If e_k < 1, the eigenvalues \lambda_k^{\pm} \in \mathbb{C}.$$

$$If e_k \ge 1, the eigenvalues \lambda_k^{\pm} \in \mathbb{R}.$$

#### Lemma

The solution  $(\widehat{\eta}_k(t), \widehat{w}_k(t))$  of (9) is given by

$$\begin{split} \widehat{\eta}_k(t) &= \frac{1}{1-\zeta_k^2} \left[ \left( \widehat{\eta}_k^0 + i\alpha_k \zeta_k \widehat{w}_k^0 \right) e^{-\lambda_k^+ t} - \left( \zeta_k^2 \widehat{\eta}_k^0 + i\alpha_k \zeta_k \widehat{w}_k^0 \right) e^{-\lambda_k^- t} \right], \\ \widehat{w}_k(t) &= \frac{1}{1-\zeta_k^2} \left[ \left( i\theta_k \zeta_k \widehat{\eta}_k^0 - \zeta_k^2 \widehat{w}_k^0 \right) e^{-\lambda_k^+ t} - \left( i\theta_k \zeta_k \widehat{\eta}_k^0 - \widehat{w}_k^0 \right) e^{-\lambda_k^- t} \right], \end{split}$$

if  $|e_k| \neq 1$  and  $k \neq 0$ ,

$$\begin{split} \widehat{\eta}_k(t) &= \left[ \left( 1 - \frac{k\zeta_k}{\sqrt{(1+bk^2)(1+dk^2)}} t \right) \widehat{\eta}_k^0 - \frac{ikt}{1+bk^2} \widehat{w}_k^0 \right] e^{-\lambda_k^+ t}, \\ \widehat{w}_k(t) &= \left[ -\frac{ikt}{1+dk^2} \widehat{\eta}_k^0 + \left( 1 + \frac{k\zeta_k}{\sqrt{(1+bk^2)(1+dk^2)}} t \right) \widehat{w}_k^0 \right] e^{-\lambda_k^+ t}, \end{split}$$

if  $|e_k| = 1$  and  $k \neq 0$ , and finally,

$$\widehat{\eta}_0(t) = \widehat{\eta}_0^0 e^{-\beta_1 t}, \qquad \widehat{w}_0(t) = \widehat{w}_0^0 e^{-\beta_2 t}.$$

Here,  $\alpha_k = \sqrt{\frac{1+dk^2}{1+bk^2}}$ ,  $\theta_k = \sqrt{\frac{1+bk^2}{1+dk^2}}$  and  $\zeta_k = e_k - \sqrt{e_k^2 - 1}$ .

#### The case s = 0

For any  $t \ge 0$  and  $k \in \mathbb{Z}$ , we have that

 $b|\widehat{\eta}_{k}(t)|^{2} + d|\widehat{w}_{k}(t)|^{2} \leq M\left(b|\widehat{\eta}_{k}^{0}|^{2} + d|\widehat{w}_{k}^{0}|^{2}\right)e^{-2t\min\left\{|\Re(\lambda_{k}^{+})|, \, |\Re(\lambda_{k}^{-})|\right\}},$ 

where

#### $\min\{|\Re(\lambda_k^+)|, |\Re(\lambda_k^-)|\} \ge D > 0,$

and D is a positive number, depending on the parameters  $\beta_1,\ \beta_2,\ \alpha_1,\ \alpha_2,\ b$  and d.

Moreover,

- If  $\beta_1\beta_2 = 0$ , then  $\Re(\lambda_k^{\pm}) \to 0$ , as  $|k| \to \infty$ , and we cannot expect uniform exponential decay of the solutions.
- The fact that the decay of the solutions is not exponential is equivalent to the non uniform decay rate: given any non increasing positive function  $\Theta$ , there is an initial data  $(\eta^0, w^0)$  such that the  $H_p^s \times H_p^s$ -norm of the corresponding solution decays slower that  $\Theta$ .

#### The case s = 0

For any  $t \geq 0$  and  $k \in \mathbb{Z}$ , we have that

 $b|\widehat{\eta}_{k}(t)|^{2} + d|\widehat{w}_{k}(t)|^{2} \leq M\left(b|\widehat{\eta}_{k}^{0}|^{2} + d|\widehat{w}_{k}^{0}|^{2}\right)e^{-2t\min\left\{|\Re(\lambda_{k}^{+})|, \, |\Re(\lambda_{k}^{-})|\right\}},$ 

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Let us introduce the space

$$V^{s} = H_{p}^{s}(0, 2\pi) \times H_{p}^{s}(0, 2\pi).$$

Then, the following holds:

#### Theorem (Micu, P., Preprint, 2016)

The family of linear operators  $\{S(t)\}_{t\geq 0}$  defined by

$$S(t)(\eta^{0}, w^{0}) = \sum_{k \in \mathbb{Z}} (\widehat{\eta}_{k}(t), \widehat{w}_{k}(t)) e^{ikx}, \qquad (\eta^{0}, w^{0}) \in V^{s},$$
(10)

is an analytic semigroup in V<sup>s</sup> and verifies the following estimate

$$\|S(t)(\eta^0, w^0)\|_{V^s} \le C \|(\eta^0, w^0)\|_{V^s},$$
(11)

where C is a positive constant. Moreover, its infinitesimal generator is the compact operator (D(A), A), where  $D(A) = V^s$  and A is given by

$$A = \begin{pmatrix} \beta_1 \left( I - b\partial_x^2 \right)^{-1} M_{\alpha_1} & \left( I - b\partial_x^2 \right)^{-1} \partial_x \\ \\ \left( I - d\partial_x^2 \right)^{-1} \partial_x & \beta_2 \left( I - b\partial_x^2 \right)^{-1} M_{\alpha_2} \end{pmatrix}.$$
(12)

#### Definition

The solutions decay exponentially in  $V^s$  if there exist two positive constants M and  $\mu,$  such that

$$\|S(t)(\eta^0, w^0)\|_{V^s} \le M e^{-\mu t} \|(\eta^0, w^0)\|_{V^s},$$
(13)

 $\forall t\geq 0 \text{ and } (\eta^0,w^0)\in V^s.$ 

We have the following result:

Theorem (Micu, P., Preprint, 2016)

The solutions decay exponentially in  $V^s$  if and only if  $\alpha_1 = \alpha_2 = 2$ and  $\beta_1$ ,  $\beta_2 > 0$ . Moreover,  $\mu$  from (13) is given by

$$\mu = \inf_{k \in \mathbb{Z}} \left\{ \left| \Re(\lambda_k^+) \right|, \left| \Re(\lambda_k^-) \right| \right\},$$
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#### Theorem (Micu, P., Preprint, 2016)

Suppose that  $\beta_1, \beta_2 \ge 0, \ \beta_1^2 + \beta_2^2 > 0$  and  $\min\{\alpha_1, \alpha_2\} \in [0, 2)$ . Then, there exists  $\delta$  and M > 0, such that

$$||S(t)(\eta^{0}, w^{0})||_{V^{s}} \leq \frac{M}{(1+t)^{\frac{1}{\delta}(q-\frac{1}{2})}}||(\eta^{0}, w^{0})||_{V^{s+q}}, \, \forall t > 0,$$

where  $s \in \mathbb{R}$  and  $q > \frac{1}{2}$ . Moreover,  $\delta > 0$  is defined by

$$\delta = \begin{cases} 2 - \max\{\alpha_1, \alpha_2\} & \text{if } \alpha_1 + \alpha_2 \le 2, \\ \max\{\alpha_1, \alpha_2\} & \text{if } \alpha_1 + \alpha_2 \le 2, \\ 2 - \min\{\alpha_1, \alpha_2\} & \text{if } \alpha_1 + \alpha_2 > 2. \end{cases}$$

Remark: If  $\alpha_1 = \alpha_2 = 2$  and  $\beta_1 = 0$  or  $\beta_2 = 0$ , then  $\delta = 2$ .

#### Theorem (Micu, P., Preprint, 2016)

Let  $s \ge 0$  and suppose that  $\beta_1, \beta_2 > 0$  and  $\alpha_1 = \alpha_2 = 2$ . There exist r > 0, C > 0 and  $\mu > 0$ , such that, for any  $(\eta^0, w^0) \in V^s$ , satisfying

$$||(\eta^0, w^0)||_{V^s} \le r,$$

the system admits a unique solution  $(\eta,w)\in C([0,\infty);V^s)$  which verifies

$$\|(\eta(t), w(t))\|_{V^s} \le Ce^{-\mu t} \|(\eta^0, w^0)\|_{V^s}, \quad t \ge 0.$$

Moreover,  $\mu$  may be taken as in the linearized problem.

The energy E(t) satisfies

$$\frac{dE}{dt} = -\beta_1 \int_0^{2\pi} (M_{\alpha_1}\eta) \eta \, dx - \beta_2 \int_0^{2\pi} (M_{\alpha_2}w) \, w \, dx - \int_0^{2\pi} (\eta w)_x \eta \, dx.$$

We define the space

 $Y_{s,\mu} = \{(\eta, w) \in C_b(\mathbb{R}^+; V^s) : e^{\mu t}(\eta, w) \in C_b(\mathbb{R}^+; V^s)\},\$ 

with the norm

$$||(\eta, w)||_{Y_{s,\mu}} := \sup_{0 \le t < \infty} ||e^{\mu t}(\eta, w)(t)||_{V^s},$$

and the function  $\Gamma:Y_{s,\mu}\to Y_{s,\mu}$  by

$$\Gamma(\eta, w)(t) = S(t)(\eta^0, w^0) - \int_0^t S(t - \tau) N(\eta, w)(\tau) \, \mathrm{d}\tau,$$

where  $N(\eta, w) = (-(I - b\partial_x^2)^{-1}(\eta w)_x, -(I - d\partial_x^2)^{-1}ww_x)$  and  $\{S(t)\}_{t\geq 0}$  is the semigroup associated to the linearized system.

Combining the estimates obtained for the linearized system we have

 $||\Gamma(\eta, w)(t)||_{V^s} \le M e^{-\mu t} ||(\eta^0, w^0)||_{V^s} + M C e^{-\mu t} \sup_{0 \le \tau \le t} ||e^{\mu \tau}(\eta, w)||_{V^s},$ 

for any  $t \ge 0$  and some positive constants M and C.

If we take  $(\eta, w) \in B_R(0) \subset Y_{s,\mu}$ , the following estimate holds

 $||\Gamma(\eta, w)||_{Y_{s,\mu}} \le M||(\eta^0, w^0)||_{V^s} + MC||(\eta, w)||_{Y_{s,\mu}}^2 \le MR + MCR^2$ 

A similar calculations shows that,

$$\begin{split} ||\Gamma(\eta_1, w_1) - \Gamma(\eta_2, w_2)||_{Y_{s,\mu}} &\leq 2RMC ||(\eta_1, w_1) - (\eta_2, w_2)||_{Y_{s,\mu}}, \\ \text{for any } (\eta_1, w_1), (\eta_2, w_2) \in B_R(0). \end{split}$$

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# Dirichlet boundary conditions

#### We consider the BBM-BBM system

 $\begin{aligned} \eta_t + w_x - b \eta_{txx} + \varepsilon a(x) \eta &= 0, & x \in (0, 2\pi), \ t > 0, \\ w_t + \eta_x - d w_{txx} &= 0, & x \in (0, 2\pi), \ t > 0, \end{aligned}$ 

with boundary conditions

 $\eta(t,0)=\eta(t,2\pi)=w(t,0)=w(t,2\pi)=0, \quad t>0,$ 

and initial conditions

 $\eta(0,x) = \eta^0(x), \quad w(0,x) = w^0(x), \qquad x \in (0,2\pi).$ 

We assume that

• b, d > 0 and  $\varepsilon > 0$  are parameters.

• a = a(x) is a nonnegative real-valued function satisfying

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The energy associated to the model is given by

$$E(t) = \frac{1}{2} \int_0^{2\pi} (\eta^2 + b\eta_x^2 + w^2 + dw_x^2) dx$$
 (15)

and we can (formally) deduce that

$$\frac{d}{dt}E(t) = -\varepsilon \int_0^{2\pi} a(x)\eta^2(t,x)dx.$$
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# Lack of Compactness

There exist T > 0 and C > 0 such that

$$E(0) \le C \int_0^T \left[ \int_0^{2\pi} \varepsilon a(x) \eta^2(x, t) dx \right] dt,$$
(17)

for every finite energy solution. Indeed, from (17) and the energy dissipation law, we have that

$$E(T) \le \frac{C}{C+1}E(0). \tag{18}$$

Since  $E(t) \leq E(kT) \leq \gamma^k E(0)$ , for  $0 < \gamma < 1$  and k > 0,

$$E(t) \le \frac{1}{\gamma} E(0) e^{\frac{\ln \gamma}{T}t}$$
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#### Main results

We assume that a = a(x) is nonnegative and

 $a(x) \ge a_0 > 0, \text{ in } \Omega \subset (0, 2\pi),$  $a \in W^{2,\infty}(0, 2\pi), \text{ with } a(0) = a'(0) = 0.$ (20)

#### Theorem (Micu, P., Journal d'Analyse Mathématique)

There exits  $\varepsilon_0$ , such that, for any  $\varepsilon \in (0, \varepsilon_0)$  and  $(\eta^0, w^0)$  in  $(H_0^1(0, 2\pi))^2$ , the solution  $(\eta, w)$  of the system verifies

$$\lim_{t \to \infty} \|(\eta(t), w(t))\|_{(H^1_0(0, 2\pi))^2} = 0.$$

Moreover, the decay of the energy is not exponential, i. e., there exists no positive constants M and  $\omega$ , such that

$$\|(\eta(t), w(t))\|_{(H^1_0(0, 2\pi))^2} \le M e^{-\omega t}, \qquad t \ge 0.$$

## Spectral analysis and eigenvectors expansion of solutions

Since

$$(I - b\partial_x^2)\eta_t + w_x + \varepsilon a(x)\eta = 0, \quad x \in (0, 2\pi), \ t > 0, (I - d\partial_x^2)w_t + \eta_x = 0, \quad x \in (0, 2\pi), \ t > 0,$$

the system can be written as

$$U_t + \mathcal{A}_{\varepsilon} U = 0,$$
  
$$U(0) = U_0,$$

where  $\mathcal{A}_{\varepsilon}:(H^1_0(0,2\pi))^2\to (H^1_0(0,2\pi))^2$  is given by

$$\mathcal{A}_{\varepsilon} = \begin{pmatrix} \varepsilon \left( I - b\partial_x^2 \right)^{-1} a(\cdot) I & \left( I - b\partial_x^2 \right)^{-1} \partial_x \\ \left( I - d\partial_x^2 \right)^{-1} \partial_x & 0 \end{pmatrix}.$$
 (21)

We have that

 $\mathcal{A}_{\varepsilon} \in \mathcal{L}((H_0^1(0,2\pi))^2)$  and  $\mathcal{A}_{\varepsilon}$  is a compact operator.

The operator  $\mathcal{A}_{\varepsilon}$  has a family of eigenvalues  $(\lambda_n)_{n\geq 1}$ , such that:

- $1. \ |\Re(\lambda_n)| \leq \frac{c}{|n|^2}, \ \forall \, n \geq n_0, \quad \text{ and } \quad \Re(\lambda_n) < 0, \quad \forall \, n.$
- 2. The corresponding eigenfunctions  $(\Phi_n)_{n\geq 1}$  form a Riesz basis in  $(H_0^1(0, 2\pi))^2$ .

Then,

$$(\eta(t), w(t)) = \sum_{n \ge 1} a_n e^{\lambda_n t} \Phi_n$$

and

$$c_1 \sum_{n \ge n_0} |a_n|^2 e^{2\Re(\lambda_n)t} \le \|(\eta(t), w(t))\|_{(H_0^1(0, 2\pi))^2}^2 \le c_2 \sum_{n \ge 1} |a_n|^2 e^{2\Re(\lambda_n)t}.$$

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Let  $(\eta, w)$  be a finite energy solution of the system with  $a \equiv 0$ . If there exist T > 0 and an open set  $\Omega \subset (0, 2\pi)$ , such that

$$\eta(x,t) = 0 \quad , \forall \ (t,x) \in (0,T) \times \Omega,$$
(22)

#### then

$$\eta = w \equiv 0$$
 in  $\mathbb{R} \times (0, 2\pi)$ .

- $\mathcal{A}_{\varepsilon}$  is a compact operator in  $(H_0^1(0, 2\pi))^2 \Rightarrow$  analyticity in time of solutions  $\Rightarrow$  property (22) holds for  $t \in \mathbb{R}$ .
- Fourier decomposition of solutions.
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## Main steps of the proof

1. The spectrum of the differential operator corresponding  $A_{\varepsilon}$  is located in the left open half-plane of the complex plane. We also obtain the asymptotic behavior (of the spectrum).

2. There exists a Riesz basis  $(\Phi_m)_{m\geq 1} \subset (H_0^1(0,2\pi))^2$  consisting of generalized eigenvectors of the differential operator  $\mathcal{A}_{\varepsilon}$ .

We obtain the asymptotic behavior of the high eigenfunctions and prove that they are quadratically close to a Riesz basis  $(\Psi_m)_{m\geq 1}$  formed by eigenvectors of a <u>well chosen</u> dissipative differential operator with constant coefficients:

$$\sum_{n \ge N+1} ||\Phi_m - \Psi_m||^2_{(H^1_0(0,2\pi))^2} \sim \frac{1}{m^2}.$$

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To control the low frequencies we use a result originally proved for a unbounded operator:

- B. Z. Guo, Riesz basis approach to the stabilization of a flexible beam with a tip mass, SIAM J. Control Optim. 39 (2001), 1736–1747.
- B. Z. Guo and R. Yu, The Riesz basis property of discrete operators and application to a Euler-Bernoulli beam equation with boundary linear feedback control, IMA J. Math. Control Inform. 18 (2001), 241–251.
- It was extended to the bounded case:
  - X. Zhang and E. Zuazua, Unique continuation for the linearized Benjamin-Bona-Mahony equation with space-dependent potential, Math. Ann. 325 (2003), 543-582.

We consider the spectral problem

$$\mathcal{A}_{\varepsilon} \left( \begin{array}{c} \eta \\ w \end{array} \right) = \mu \left( \begin{array}{c} \eta \\ w \end{array} \right),$$

where

$$\mathcal{A}_{\varepsilon}: (H_0^1(0, 2\pi))^2 \to (H_0^1(0, 2\pi))^2,$$

which is equivalent to the BVP

$$\begin{cases} \eta - b\eta_{xx} + \mu w_x + \varepsilon a(x)\mu\eta = 0 & \text{for } x \in (0, 2\pi) \\ w - dw_{xx} + \mu\eta_x = 0 & \text{for } x \in (0, 2\pi) \\ \eta(0) = \eta(2\pi) = 0 & \\ w(0) = w(2\pi) = 0. \end{cases}$$
(23)

From (23) we obtain a family of eigenvalues and eigenfunctions......

## A two dimensional "shooting method"

For each  $(\mu, \gamma) \in \mathbb{C}^2$ , consider the IVP

$$\begin{cases} \eta - b\eta_{xx} + \mu w_x + \varepsilon a(x)\mu\eta = 0 & \text{for } x \in (0, 2\pi) \\ w - dw_{xx} + \mu\eta_x = 0 & \text{for } x \in (0, 2\pi) \\ \eta(0) = 0, \ \eta_x(0) = 1 \\ w(0) = 0, \ w_x(0) = \gamma. \end{cases}$$
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and the map  $F : \mathbb{C}^2 \to \mathbb{C}^2$ , given by  $F(\mu, \gamma) = \begin{pmatrix} \eta(\mu, \gamma, 2\pi) \\ w(\mu, \gamma, 2\pi) \end{pmatrix}$ . Then,  $\mu$  is an eigenvalue of (23) with corresponding eigenfunction  $\begin{pmatrix} \eta \\ w \end{pmatrix}$ , if and only if,

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The spectrum of the differential operator with variable potential is given by the zeros of the map F.

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### A two dimensional "shooting method"

For each  $(\mu, \gamma) \in \mathbb{C}^2$ , consider the IVP

$$\begin{cases} \eta - b\eta_{xx} + \mu w_x + \varepsilon a(x)\mu\eta = 0 & \text{for } x \in (0, 2\pi) \\ w - dw_{xx} + \mu\eta_x = 0 & \text{for } x \in (0, 2\pi) \\ \eta(0) = 0, \ \eta_x(0) = 1 \\ w(0) = 0, \ w_x(0) = \gamma. \end{cases}$$
(24)

and the map  $F: \mathbb{C}^2 \to \mathbb{C}^2$ , given by  $F(\mu, \gamma) = \begin{pmatrix} \eta(\mu, \gamma, 2\pi) \\ w(\mu, \gamma, 2\pi) \end{pmatrix}$ . Then,  $\mu$  is an eigenvalue of (23) with corresponding eigenfunction  $\begin{pmatrix} \eta \\ w \end{pmatrix}$ , if and only if,

$$F(\mu,\gamma) = \left( \begin{array}{c} 0\\ 0 \end{array} 
ight).$$

The spectrum of the differential operator with variable potential is given by the zeros of the map F. Next, we define the map  $G = G(\sigma, \beta)$ , associated to a spectral problem with constant coefficients, for which the eigenfunctions form a Riesz basis:

$$\begin{cases}
-b\psi_{xx} + \sigma u_x + \varepsilon a_0 \sigma \psi = 0 & \text{for } x \in (0, 2\pi) \\
-du_{xx} + \sigma \psi_x = 0 & \text{for } x \in (0, 2\pi) \\
\psi(0) = 0, \ \psi_x(0) = 1 \\
u(0) = 0, \ u_x(0) = \beta.
\end{cases}$$
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The map 
$$G: \mathbb{C}^2 \to \mathbb{C}^2$$
 is given by  $G(\sigma, \beta) = \begin{pmatrix} \psi(\sigma, \beta, 2\pi) \\ u(\sigma, \beta, 2\pi) \end{pmatrix}$ .

The corresponding BVP  $(\psi(0) = u(0) = \psi(2\pi) = u(2\pi) = 0)$ 

- has a double indexed family of complex eigenvalues  $(\sigma_n^j)_{n\in\mathbb{Z}^*,\,j\in\{1,2\}}$  and
- the family of corresponding eigenfunctions  $(\Psi_n^j)_{n \in \mathbb{Z}^*, j \in \{1,2\}}$  forms a Riesz basis in  $(H_0^1)^2$ .

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#### Theorem (N. G. Lloyd, J. London Math. Soc. 2 (1979))

Let  $\mathcal{D}$  be a bounded domain in  $\mathbb{C}^N$  and h, G holomorphic maps of  $\overline{\mathcal{D}}$  into  $\mathbb{C}^N$  such that ||h(z)|| < ||G(z)|| for  $z \in \partial \mathcal{D}$ . Then G has finitely many zeros in  $\mathcal{D}$ , and G and h + G have the same number of zeros in  $\mathcal{D}$ , counting multiplicity.

Given a zero  $(\sigma_n^j, \beta_n^j)$  of the map G, we define the domain

$$D_n^j(\delta) = \left\{ (\mu, \gamma) \in \mathbb{C}^2 : \sqrt{|\mu - \sigma_n^j|^2 + |\gamma - \beta_n^j|^2} \le \frac{\delta}{|n|} \right\}.$$

$$\begin{split} & \text{If } h = F - G \text{ we obtain} \\ & \blacksquare \|G(\mu, \gamma)\| \geq \frac{C}{n^2}, \\ & \blacksquare \|F(\mu, \gamma) - G(\mu, \gamma)\| \leq \frac{C}{n^2}, \quad \forall \, (\mu, \gamma) \in \partial D_n^j(\delta). \end{split}$$

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Finally, we obtain an ansatz  $\left(\begin{array}{c}\varphi(\mu,\gamma,x)\\z(\mu,\gamma,x)\end{array}\right)$  for the solutions of the IVP

$$\begin{cases} \eta - b\eta_{xx} + \mu w_x + \varepsilon a(x)\mu\eta = 0 & \text{ for } x \in (0, 2\pi) \\ w - dw_{xx} + \mu\eta_x = 0 & \text{ for } x \in (0, 2\pi) \\ \eta(0) = 0, \ \eta_x(0) = 1 \\ w(0) = 0, \ w_x(0) = \gamma. \end{cases}$$

More precisely, 
$$\begin{pmatrix} \eta(\mu,\gamma,x) \\ w(\mu,\gamma,x) \end{pmatrix} = \begin{pmatrix} \varphi(\mu,\gamma,x) \\ z(\mu,\gamma,x) \end{pmatrix} + \mathcal{O}\left(\frac{1}{\mu^2}\right)$$
, where

$$\begin{cases} \varphi(\mu,\gamma,x) = -\frac{\sqrt{bd}}{\mu}\sinh(\alpha(x)) + \frac{\gamma d}{\mu}\cosh(\alpha(x)) - \frac{\gamma d}{\mu + da(x)}\\ z(\mu,\gamma,x) = -\frac{b}{\mu}\left(\cosh(\alpha(x)) - 1\right) + \frac{\gamma\sqrt{bd}}{\mu}\sinh(\alpha(x)) + \frac{\gamma d}{\mu}\int_0^x a(s)ds, \end{cases}$$

and  $\alpha(x) = \frac{\mu x}{\sqrt{bd}} + \frac{1}{2} \sqrt{\frac{d}{b}} \int_0^x a(s) ds.$ 

 $\|F(\mu,\gamma) - G(\mu,\gamma)\|$ 

$$\leq \left\| F(\mu,\gamma) - \left( \begin{array}{c} \varphi(\mu\gamma,2\pi) \\ z(\mu,\gamma,2\pi) \end{array} \right) \right\| + \left\| \left( \begin{array}{c} \varphi(\mu\gamma,2\pi) \\ z(\mu,\gamma,2\pi) \end{array} \right) - G(\mu,\gamma) \right\|$$
$$\leq \frac{C_1}{|\mu|^2} \text{ (from the ansatz property)}$$

 $+\frac{C_2}{|\mu|^2}$  (by choosing conveniently the constant potential  $a_0=rac{1}{2\pi}\int_0^{2\pi}a(s)ds)$ 

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Similar strategies have been successfully used by

- S. Cox and E. Zuazua, The rate at which energy decays in a damped string, Comm. Partial Differential Equations 19 (1994), 213–243.
- A. Benaddi and B. Rao, Energy decay rate of wave equations with indefinite damping, J. Differential Equations 161 (2000), 337–357.
- X. Zhang and E. Zuazua, Unique continuation for the linearized Benjamin-Bona-Mahony equation with space-dependent potential, Math. Ann. 325 (2003), 543–582.

# Remarks and open problems

#### Dirichlet boundary conditions:

- Less regularity for the potential *a*.
- Stabilization results for the nonlinear problem.
- Dissipative mechanisms, like  $-[a(x)\varphi_x]_x$ , ensures the uniform decay?
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