



**Calculus of Variations** — *Variational methods for nonlinear perturbations of singular  $\phi$ -Laplacians*, by CRISTIAN BEREANU, PETRU JEBELEAN and JEAN MAWHIN, communicated on 14 January 2011.

*Remembering Giovanni Prodi's pioneering work in nonlinear analysis.*

ABSTRACT. — Motivated by the existence of radial solutions to the Neumann problem involving the mean extrinsic curvature operator in Minkowski space

$$\operatorname{div}\left(\frac{\nabla v}{\sqrt{1-|\nabla v|^2}}\right) = g(|x|, v) \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial \mathcal{A},$$

where  $0 \leq R_1 < R_2$ ,  $\mathcal{A} = \{x \in \mathbb{R}^N : R_1 \leq |x| \leq R_2\}$  and  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  is continuous, we study the more general problem

$$[r^{N-1}\phi(u')] = r^{N-1}g(r, u), \quad u'(R_1) = 0 = u'(R_2),$$

where  $\phi := \Phi' : (-a, a) \rightarrow \mathbb{R}$  is an increasing homeomorphism with  $\phi(0) = 0$  and the continuous function  $\Phi : [-a, a] \rightarrow \mathbb{R}$  is of class  $C^1$  on  $(-a, a)$ . The associated functional in the space of continuous functions over  $[R_1, R_2]$  is the sum of a convex lower semicontinuous functional and of a functional of class  $C^1$ . Using the critical point theory of Szulkin, we obtain various existence and multiplicity results for several classes of nonlinearities. We also discuss the case of the periodic problem.

KEY WORDS: Neumann problem, radial solutions, mean extrinsic curvature, critical point, Palais-Smale condition, saddle point, Mountain Pass Theorem, periodic problem.

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## 1. INTRODUCTION

This study is essentially motivated by the existence of radial solutions to the Neumann problem involving the *mean extrinsic curvature operator in Minkowski space* (see e.g. [3]):

$$(1) \quad \operatorname{div}\left(\frac{\nabla v}{\sqrt{1-|\nabla v|^2}}\right) = g(|x|, v) \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial \mathcal{A},$$

where  $0 \leq R_1 < R_2$ ,  $\mathcal{A} = \{x \in \mathbb{R}^N : R_1 \leq |x| \leq R_2\}$  and  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  is a continuous function. As usual, we have denoted by  $\frac{\partial v}{\partial \nu}$  the outward normal

derivative of  $v$  and  $|\cdot|$  stands for the Euclidean norm in  $\mathbb{R}^N$ . Setting  $r = |x|$  and  $v(x) = u(r)$ , the above problem (1) becomes

$$(2) \quad \left[ r^{N-1} \left( \frac{u'}{\sqrt{1-u'^2}} \right) \right]' = r^{N-1} g(r, u), \quad u'(R_1) = 0 = u'(R_2),$$

and the solutions of (2) are classical radial solutions of (1).

In this paper we obtain existence results for the more general problem

$$(3) \quad [r^{N-1} \phi(u')] = r^{N-1} g(r, u), \quad u'(R_1) = 0 = u'(R_2),$$

where  $\phi := \Phi' : (-a, a) \rightarrow \mathbb{R}$  is an increasing homeomorphism with  $\phi(0) = 0$  and the continuous function  $\Phi : [-a, a] \rightarrow \mathbb{R}$  is of class  $C^1$  on  $(-a, a)$  and, without loss of generality, we can assume that  $\Phi(0) = 0$ . This kind of  $\phi$  is called *singular  $\phi$ -Laplacian*. Note that for  $\phi(s) = \frac{s}{\sqrt{1-s^2}}$  one takes  $\Phi(s) = 1 - \sqrt{1-s^2}$ .

Our approach is a variational one and relies on Szulkin's critical point theory [13]. Using a strategy inspired from [4], we show in Proposition 1 that  $u$  is a solution of (3) provided that  $u$  is a critical point of the energy functional  $I : C[R_1, R_2] \rightarrow (-\infty, +\infty]$  defined by

$$I(u) = \begin{cases} \int_{R_1}^{R_2} r^{N-1} \Phi(u') dr + \int_{R_1}^{R_2} r^{N-1} G(r, u) dr, & \text{if } u \in K, \\ +\infty, & \text{otherwise,} \end{cases}$$

where  $G : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  is the primitive of  $g$  with respect to the second variable and  $K = \{u \in W^{1,\infty}[R_1, R_2] : |u'| \leq a \text{ a.e. on } [R_1, R_2]\}$ . The functional  $I$  has the structure required by Szulkin's critical point theory, i.e., it is the sum of a proper convex, lower semicontinuous functional and of a  $C^1$  functional. In this context, a critical point of  $I$  means a function  $u \in K$  such that

$$\int_{R_1}^{R_2} r^{N-1} [\Phi(v') - \Phi(u')] dr + \int_{R_1}^{R_2} r^{N-1} g(r, u)(v - u) dr \geq 0 \quad \text{for all } v \in K.$$

In Section 2 we introduce some notations and definitions and we prove the above mentioned Proposition 1. Notice that, in contrast to [4], we replace some auxiliary result based upon Leray-Schauder theory by an elementary argument (Lemma 1) and obtain in this way a purely variational treatment of our problem. A similar methodology can be applied to obtain pure variational proofs of the results on periodic solutions in [5, 6, 12].

Section 3 deals with minimization problems for  $I$  based upon the fact that if there exists  $\rho > 0$  such that

$$\inf \left\{ I(u) : u \in K, \left| \int_{R_1}^{R_2} r^{N-1} u dr \right| \leq \rho \right\} = \inf_K I,$$

then  $I$  is bounded from below and attains its infimum at some  $u$ , which solves problem (3) (Lemma 2). Theorem 1 from [4] is then an immediate consequence of this result (Corollary 1). We also prove (Theorem 1) that if  $g$  is such that

$$\liminf_{|x| \rightarrow \infty} G(r, x) > 0, \quad \text{uniformly in } r \in [R_1, R_2],$$

then (3) has at least one solution  $u$  which minimizes  $I$  on  $C$ .

The same is also true if  $g$  is bounded and

$$\lim_{|x| \rightarrow \infty} \int_{R_1}^{R_2} r^{N-1} G(r, x) \, dr = +\infty$$

(Theorem 2). On the other hand, if  $G(r, \cdot)$  is convex for any  $r \in [R_1, R_2]$ , then (3) has at least one solution if and only if the function

$$x \mapsto \int_{R_1}^{R_2} r^{N-1} g(r, x) \, dr$$

has at least one zero, or, equivalently, the real convex function

$$x \mapsto \int_{R_1}^{R_2} r^{N-1} G(r, x) \, dr$$

has a minimum (Theorem 3).

In Section 4 we derive some properties of the (PS)–sequences (Lemma 3) and we show that if  $g$  is bounded and

$$\lim_{|x| \rightarrow \infty} \int_{R_1}^{R_2} r^{N-1} G(r, x) \, dr = -\infty,$$

then (3) has at least one solution  $u$  which is a saddle point of  $I$  (Theorem 4). As in Section 3, if  $g$  is not necessarily bounded but the above condition upon  $G$  is replaced with the following more restrictive assumption

$$\lim_{|x| \rightarrow \infty} G(r, x) = -\infty, \quad \text{uniformly in } r \in [R_1, R_2],$$

then the same result holds true (Theorem 5).

In Section 5 we consider the problem

$$(4) \quad [r^{N-1}\phi(u')] = r^{N-1}[\lambda|u|^{m-2}u - f(r, u)], \quad u'(R_1) = 0 = u'(R_2),$$

where  $\lambda > 0$  and  $m \geq 2$  are fixed real numbers and  $f : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  is a continuous function satisfying the classical Ambrosetti–Rabinowitz condition: there exists  $\theta > m$  and  $x_0 > 0$  such that

$$0 < \theta F(r, x) \leq xf(r, x) \quad \text{for all } r \in [R_1, R_2] \text{ and } |x| \geq x_0.$$

We also assume that

$$\limsup_{|x| \rightarrow 0} \frac{mF(r, x)}{|x|^m} < \lambda \quad \text{uniformly in } r \in [R_1, R_2],$$

and prove that under these assumptions, problem (4) has at least one solution  $u$  which is a mountain pass critical point of the corresponding  $I$  (Theorem 6).

Section 6 is devoted to the periodic problem

$$(5) \quad [\phi(u')] = g(r, u), \quad u(R_1) - u(R_2) = 0 = u'(R_1) - u'(R_2).$$

Here we discuss the manner in which the above results for problems (3) and (4) can be transposed for problem (5).

## 2. THE FUNCTIONAL FRAMEWORK

In what follows, we assume that  $\Phi : [-a, a] \rightarrow \mathbb{R}$  satisfies the following hypothesis:

$$(H_\Phi) \quad \Phi(0) = 0, \quad \Phi \text{ is continuous, of class } C^1 \text{ on } (-a, a), \text{ with} \\ \phi := \Phi' : (-a, a) \rightarrow \mathbb{R} \text{ an increasing homeomorphism such that } \phi(0) = 0.$$

Clearly,  $\Phi$  is strictly convex and  $\Phi(x) \geq 0$  for all  $x \in [-a, a]$ .

Given  $0 \leq R_1 < R_2$  and  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  a continuous function, we denote by  $G : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  the indefinite integral of  $g$ , i.e.,

$$G(r, x) := \int_0^x g(r, \xi) d\xi, \quad (r, x) \in [R_1, R_2] \times \mathbb{R}.$$

We set  $C := C[R_1, R_2]$ ,  $L^1 := L^1(R_1, R_2)$ ,  $L^\infty := L^\infty(R_1, R_2)$  and  $W^{1, \infty} := W^{1, \infty}(R_1, R_2)$ . The usual norm  $\|\cdot\|_\infty$  is considered on  $C$  and  $L^\infty$ . The space  $W^{1, \infty}$  is endowed with the norm

$$\|v\| = \|v\|_\infty + \|v'\|_\infty, \quad v \in W^{1, \infty}.$$

Denoting

$$L_{N-1}^1 := \left\{ v : (R_1, R_2) \rightarrow \mathbb{R} \text{ measurable} : \int_{R_1}^{R_2} r^{N-1} |v(r)| dr < +\infty \right\},$$

each  $v \in L_{N-1}^1$  can be written  $v(r) = \bar{v} + \tilde{v}(r)$ , with

$$\bar{v} := \frac{N}{R_2^N - R_1^N} \int_{R_1}^{R_2} v(r) r^{N-1} dr, \quad \int_{R_1}^{R_2} \tilde{v}(r) r^{N-1} dr = 0.$$

If  $v \in W^{1, \infty}$  then  $\bar{v}$  vanishes at some  $r_0 \in (R_1, R_2)$  and

$$|\tilde{v}(r)| = |\tilde{v}(r) - \tilde{v}(r_0)| \leq \int_{R_1}^{R_2} |v'(t)| dt \leq (R_2 - R_1) \|v'\|_\infty,$$

so, one has that

$$(6) \quad \|\tilde{v}\|_\infty \leq (R_2 - R_1)\|v'\|_\infty.$$

Putting

$$K := \{v \in W^{1,\infty} : \|v'\|_\infty \leq a\},$$

it is clear that  $K$  is a convex subset of  $W^{1,\infty}$ .

Let  $\Psi : C \rightarrow (-\infty, +\infty]$  be defined by

$$\Psi(v) = \begin{cases} \varphi(v), & \text{if } v \in K, \\ +\infty, & \text{otherwise,} \end{cases}$$

where  $\varphi : K \rightarrow \mathbb{R}$  is given by

$$\varphi(v) = \int_{R_1}^{R_2} r^{N-1} \Phi(v') \, dr, \quad v \in K.$$

Obviously,  $\Psi$  is proper and convex. On the other hand, as shown in [4], we have that if  $\{u_n\} \subset K$  and  $u \in C$  are such that  $u_n(r) \rightarrow u(r)$  for all  $r \in [R_1, R_2]$ , then  $u \in K$  and

$$(7) \quad \varphi(u) \leq \liminf_{n \rightarrow \infty} \varphi(u_n).$$

This implies that  $\Psi$  is lower semicontinuous on  $C$ . Also, note that  $K$  is closed in  $C$ .

Next, let  $\mathcal{G} : C \rightarrow \mathbb{R}$  be defined by

$$\mathcal{G}(u) = \int_{R_1}^{R_2} r^{N-1} G(r, u) \, dr, \quad u \in C.$$

A standard reasoning (also see [9, Remark 2.7]) shows that  $\mathcal{G}$  is of class  $C^1$  on  $C$  and its derivative is given by

$$\langle \mathcal{G}'(u), v \rangle = \int_{R_1}^{R_2} r^{N-1} g(r, u)v \, dr, \quad u, v \in C.$$

The functional  $I : C \rightarrow (-\infty, +\infty]$  defined by

$$(8) \quad I = \Psi + \mathcal{G}$$

has the structure required by Szulkin's critical point theory [13]. Accordingly, a function  $u \in C$  is a *critical point* of  $I$  if  $u \in K$  and it satisfies the inequality

$$\Psi(v) - \Psi(u) + \langle \mathcal{G}'(u), v - u \rangle \geq 0 \quad \text{for all } v \in C,$$

or, equivalently

$$\int_{R_1}^{R_2} r^{N-1} [\Phi(v') - \Phi(u')] dr + \int_{R_1}^{R_2} r^{N-1} g(r, u)(v - u) dr \geq 0 \quad \text{for all } v \in K.$$

Now, we consider the Neumann boundary value problem (3) under the basic hypothesis  $(H_\Phi)$ . Recall that by a *solution* of (3) we mean a function  $u \in C^1[R_1, R_2]$ , such that  $\|u'\|_\infty < a$ ,  $\phi(u')$  is differentiable and (3) is satisfied.

LEMMA 1. *For every  $f \in C$ , problem*

$$(9) \quad [r^{N-1} \phi(u')] = r^{N-1} [\bar{u} + f], \quad u'(R_1) = 0 = u'(R_2)$$

*has a unique solution  $u_f$ , which is also the unique solution of the variational inequality*

$$(10) \quad \int_{R_1}^{R_2} r^{N-1} [\Phi(v') - \Phi(u') + \bar{u}(\bar{v} - \bar{u}) + f(v - u)] dr \geq 0 \quad \text{for all } v \in K,$$

*and the unique minimum over  $K$  of the strictly convex functional  $J$  defined by*

$$(11) \quad J(u) = \int_{R_1}^{R_2} r^{N-1} \left[ \Phi(u') + \frac{\bar{u}^2}{2} + fu \right] dr.$$

PROOF. Problem (9) is equivalent to finding  $u = \bar{u} + \tilde{u}$  with  $\bar{u}$  and  $\tilde{u}$  solutions of

$$(12) \quad \begin{cases} [r^{N-1} \phi(\tilde{u}')] = r^{N-1} \tilde{f}, & \tilde{u}'(R_1) = 0 = \tilde{u}'(R_2), \\ \bar{u} = -\tilde{f}, & \int_{R_1}^{R_2} r^{N-1} \tilde{u}(r) dr = 0. \end{cases}$$

Now the first equation gives, using the first boundary condition,

$$(13) \quad \tilde{u}'(r) = \phi^{-1} \left[ r^{1-N} \int_{R_1}^r s^{N-1} \tilde{f}(s) ds \right].$$

From (13) we get

$$\|\tilde{u}'\|_\infty < a, \quad \tilde{u}'(R_2) = \phi^{-1} \left[ R_2^{1-N} \int_{R_1}^{R_2} s^{N-1} \tilde{f}(s) ds \right] = \phi^{-1}(0) = 0.$$

Then the unique solution of (13) is given by

$$(14) \quad \tilde{u}(r) = c + \int_{R_1}^r \phi^{-1} \left[ t^{1-N} \int_{R_1}^t s^{N-1} \tilde{f}(s) ds \right] dt,$$

where

$$(15) \quad c = -\frac{N}{R_2^N - R_1^N} \int_{R_1}^{R_2} r^{N-1} \int_{R_1}^r \phi^{-1} \left[ t^{1-N} \int_{R_1}^t s^{N-1} \tilde{f}(s) ds \right] dt dr.$$

The unique solution  $u_f = \bar{u} + \tilde{u}$  of (9) follows from (12), (14) and (15).

Now, if  $u$  is a solution of (9), then, taking  $v \in K$ , multiplying each member of the differential equation by  $v - u$ , integrating over  $[R_1, R_2]$ , and using integration by parts and the boundary conditions, we get

$$\int_{R_1}^{R_2} r^{N-1} [\phi(u')(v' - u') + \bar{u}(\bar{v} - \bar{u}) + f(v - u)] dr = 0,$$

which gives (10) if we use the convexity inequality for  $\Phi$

$$\Phi(v') - \Phi(u') \geq \phi(u')(v' - u').$$

The inequality  $\frac{\bar{v}^2}{2} - \frac{\bar{u}^2}{2} \geq \bar{u}(\bar{v} - \bar{u})$  introduced in (10) implies that

$$\int_{R_1}^{R_2} r^{N-1} \left[ \Phi(v') - \Phi(u') + \frac{\bar{v}^2}{2} + fv - \frac{\bar{u}^2}{2} - fu \right] dr \geq 0 \quad \text{for all } v \in K,$$

which shows that  $J$  has a minimum on  $K$  at  $u$ . Conversely if it is the case, then, for all  $\lambda \in (0, 1]$  and all  $v \in K$ , we get

$$\begin{aligned} & \int_{R_1}^{R_2} r^{N-1} \left\{ \Phi[(1 - \lambda)u' + \lambda v'] + \frac{[(1 - \lambda)\bar{u} + \lambda\bar{v}]^2}{2} + f[(1 - \lambda)u + \lambda v] \right\} dr \\ & \geq \int_{R_1}^{R_2} r^{N-1} \left[ \Phi(u') + \frac{\bar{u}^2}{2} + fu \right] dr, \end{aligned}$$

which, using the convexity of  $\Phi$ , simplifying, dividing both members by  $\lambda$  and letting  $\lambda \rightarrow 0_+$ , gives the variational inequality (10). Thus solving (10) is equivalent to minimizing (11) over  $K$ . Now, it is straightforward to check that  $J$  is strictly convex over  $K$  and therefore has a unique minimum there, which gives the required uniqueness conclusions of Lemma 1.  $\square$

**PROPOSITION 1.** *If  $u$  is a critical point of  $I$ , then  $u$  is a solution of problem (3).*

**PROOF.** We set

$$f_u := g(\cdot, u) - \bar{u} \in C$$

and consider the problem

$$(16) \quad [r^{N-1}\phi(w')] = r^{N-1}[\bar{w} + f_u(r)], \quad w'(R_1) = 0 = w'(R_2).$$

By virtue of Lemma 1, problem (16) has an unique solution  $\hat{u}$  and it is also the unique solution of

$$(17) \quad \int_{R_1}^{R_2} r^{N-1} [\Phi(v') - \Phi(\hat{u}') + \bar{u}(\bar{v} - \bar{u}) + f_u(r)(v - \hat{u})] dr \geq 0 \quad \text{for all } v \in K.$$

Since  $u$  is a critical point of  $I$ , we infer that

$$(18) \quad \int_{R_1}^{R_2} r^{N-1} [\Phi(v') - \Phi(u') + \bar{u}(\bar{v} - \bar{u}) + f_u(r)(v - u)] dr \geq 0 \quad \text{for all } v \in K.$$

It follows by uniqueness that  $u = \hat{u}$ . Hence,  $u$  solves problem (3).  $\square$

### 3. GROUND STATE SOLUTIONS

We begin by a lemma which is the main tool for the minimization problems in this section. With this aim, for any  $\rho > 0$ , set

$$\hat{K}_\rho := \{u \in K : |\bar{u}| \leq \rho\}.$$

LEMMA 2. *Assume that there is some  $\rho > 0$  such that*

$$(19) \quad \inf_{\hat{K}_\rho} I = \inf_K I.$$

*Then  $I$  is bounded from below on  $C$  and attains its infimum at some  $u \in \hat{K}_\rho$ , which solves problem (3).*

PROOF. By virtue of (19) and  $\inf_C I = \inf_K I$ , it suffices to prove that there is some  $u \in \hat{K}_\rho$  such that

$$(20) \quad I(u) = \inf_{\hat{K}_\rho} I.$$

Then, we get that  $u$  is a minimum point of  $I$  on  $C$  and, on account of [13, Proposition 1.1], is a critical point of  $I$ . The proof will be accomplished by virtue of Proposition 1.

If  $v \in \hat{K}_\rho$  then, using (6) we obtain

$$|v(r)| \leq |\bar{v}| + |\bar{v}(r)| \leq \rho + (R_2 - R_1)a.$$

This, together with  $\|v'\|_\infty \leq a$  show that  $\hat{K}_\rho$  is bounded in  $W^{1,\infty}$  and, by the compactness of the embedding  $W^{1,\infty} \subset C$ , the set  $\hat{K}_\rho$  is relatively compact in  $C$ . Let  $\{u_n\} \subset \hat{K}_\rho$  be a minimizing sequence for  $I$ . Passing to a subsequence if necessary and using [4, Lemma 1], we may assume that  $\{u_n\}$  converges uniformly to some



$u \in K$ . It is easily seen that actually  $u \in \hat{K}_\rho$ . From (7) and the continuity of  $\mathcal{G}$  on  $C$ , we obtain

$$I(u) \leq \liminf_{n \rightarrow \infty} I(u_n) = \lim_{n \rightarrow \infty} I(u_n) = \inf_{\hat{K}_\rho} I,$$

showing that (20) holds true.  $\square$

The following result is proved in [4, Theorem 1].

**COROLLARY 1.** *Let  $f : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  be continuous and  $F : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  be defined by*

$$F(r, x) := \int_0^x f(r, \xi) d\xi, \quad (r, x) \in [R_1, R_2] \times \mathbb{R}.$$

*If there is some  $\omega > 0$  such that  $F(r, x) = F(r, x + \omega)$  for all  $(r, x) \in [R_1, R_2] \times \mathbb{R}$ , then, for any  $h \in C$  with  $\bar{h} = 0$ , the problem*

$$[r^{N-1}\phi(u')] = r^{N-1}[f(r, u) + h(r)], \quad u'(R_1) = 0 = u'(R_2),$$

*has at least one solution  $u \in \hat{K}_\omega$  which is a minimizer of the corresponding energy functional  $I$  on  $C$ .*

**PROOF.** We have

$$G(r, x) = F(r, x) + h(r)x, \quad (r, x) \in [R_1, R_2] \times \mathbb{R}.$$

Due to the  $\omega$ -periodicity of  $F(r, \cdot)$  and because of  $\bar{h} = 0$ , it holds

$$I(v + j\omega) = I(v) \quad \text{for all } v \in K \text{ and } j \in \mathbb{Z}.$$

Then, the conclusion follows from the equality

$$\{I(v) : v \in K\} = \{I(v) : v \in \hat{K}_\omega\}$$

and Lemma 2.  $\square$

**THEOREM 1.** *If  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  is a continuous function such that*

$$(21) \quad \liminf_{|x| \rightarrow \infty} G(r, x) > 0, \quad \text{uniformly in } r \in [R_1, R_2],$$

*then (3) has at least one solution which minimizes  $I$  on  $C$ .*

**PROOF.** Using (6) and (21) it follows that there exists  $\rho > 0$  such that

$$G(r, u) > 0$$

for any  $u \in K$  such that  $|\bar{u}| > \rho$ . It follows that  $I(u) > 0$  provided that  $u \in K$  and  $|\bar{u}| > \rho$ . The proof follows from Lemma 2, as  $I(0) = 0$ .  $\square$

REMARK 1. An easy adaptation of the techniques in Section 2.3 of [7] shows that the Neumann problem for the  $p$ -Laplacian ( $p > 1$ ) on a bounded domain  $\Omega \subset \mathbb{R}^N$

$$\operatorname{div}(|\nabla v|^{p-2}\nabla v) = g(x, v) \quad \text{in } \Omega, \quad \frac{\partial u}{\partial \nu} = 0 \quad \text{on } \partial\Omega,$$

with  $g : \bar{\Omega} \times \mathbb{R} \rightarrow \mathbb{R}$  continuous has at least one strong solution if

$$\liminf_{|u| \rightarrow \infty} \frac{G(x, u)}{|u|^p} > 0, \quad \text{uniformly in } x \in \bar{\Omega},$$

a condition of the type already introduced by Hammerstein [8] for the Laplacian with Dirichlet conditions. For the radial solutions of (1), Theorem 1 shows that it is sufficient that such a condition holds with  $p = 0$ .

EXAMPLE 1. The Neumann problem

$$\operatorname{div}\left(\frac{\nabla v}{\sqrt{1 - |\nabla v|^2}}\right) = \frac{v + h(|x|)}{1 + [v + h(|x|)]^2} + \cos v \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial\mathcal{A},$$

has at least one radial solution for all  $h \in C$ .

THEOREM 2. Let  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  be a continuous function and  $l \in L^1_{N-1}$  be such that

$$(22) \quad |g(r, x)| \leq l(r)$$

for a.e.  $r \in (R_1, R_2)$  and all  $x \in \mathbb{R}$ . If

$$(23) \quad \lim_{|x| \rightarrow \infty} \int_{R_1}^{R_2} r^{N-1} G(r, x) dr = +\infty,$$

then (3) has at least one solution which minimizes  $I$  on  $C$ .

PROOF. We shall apply Lemma 2. For arbitrary  $u \in K$ , using (6) and (22), we estimate  $I$  as follows.

$$\begin{aligned} I(u) &= \int_{R_1}^{R_2} r^{N-1} \Phi(u') dr + \int_{R_1}^{R_2} r^{N-1} G(r, u) dr \\ &\geq \int_{R_1}^{R_2} r^{N-1} G(r, \bar{u}) dr + \int_{R_1}^{R_2} r^{N-1} [G(r, u) - G(r, \bar{u})] dr \\ &= \int_{R_1}^{R_2} r^{N-1} G(r, \bar{u}) dr + \int_{R_1}^{R_2} r^{N-1} \int_0^1 g(r, \bar{u} + s\tilde{u}) \tilde{u} ds dr \\ &\geq \int_{R_1}^{R_2} r^{N-1} G(r, \bar{u}) dr - a(R_2 - R_1) \int_{R_1}^{R_2} r^{N-1} l(r) dr. \end{aligned}$$

From (23) we can find  $\rho > 0$  such that  $I(u) > 0$  provided that  $|\bar{u}| > \rho$ . As by  $(H_\Phi)$  we know that  $\Phi(0) = 0$ , one has  $I(0) = 0$ . Therefore, (19) is fulfilled and the proof is complete.  $\square$

REMARK 2. Condition (23) is of the type introduced by Ahmad-Lazer-Paul [1] for the Laplacian with Dirichlet conditions. The reader will observe that the conclusion of Theorem 2 still remains true if (23) is replaced by the weaker but more technical condition

$$\liminf_{|x| \rightarrow \infty} \int_{R_1}^{R_2} r^{N-1} G(r, x) \, dr > a(R_2 - R_1) \int_{R_1}^{R_2} r^{N-1} l(r) \, dr.$$

EXAMPLE 2. For every  $h \in C$  such that  $-\frac{\pi}{2} < \bar{h} < \frac{\pi}{2}$ , the Neumann problem

$$\operatorname{div} \left( \frac{\nabla v}{\sqrt{1 - |\nabla v|^2}} \right) - \arctan v - \cos v = h(|x|) \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial \mathcal{A},$$

has at least one radial solution.

THEOREM 3. Let  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  be a continuous function such that  $G(r, \cdot)$  is convex for all  $r \in [R_1, R_2]$ . Then, problem (3) has at least one solution if and only if there is some  $c \in \mathbb{R}$  such that

$$(24) \quad \int_{R_1}^{R_2} r^{N-1} g(r, c) \, dr = 0.$$

PROOF. Define

$$\Gamma : \mathbb{R} \rightarrow \mathbb{R}, \quad x \mapsto \int_{R_1}^{R_2} r^{N-1} G(r, x) \, dr$$

and note that

$$\Gamma'(x) = \int_{R_1}^{R_2} r^{N-1} g(r, x) \, dr \quad \text{for all } x \in \mathbb{R}.$$

Let us assume that (3) has a solution  $u$ . Clearly, we have

$$(25) \quad \int_{R_1}^{R_2} r^{N-1} g(r, u) \, dr = 0.$$

On account of the convexity of  $G(r, \cdot)$ , the function  $g(r, \cdot) : \mathbb{R} \rightarrow \mathbb{R}$  is nondecreasing for any  $r \in [R_1, R_2]$ . Hence,

$$(26) \quad g(r, -\|u\|_\infty) \leq g(r, u(r)) \leq g(r, \|u\|_\infty) \quad \text{for all } r \in [R_1, R_2].$$

From (25) and (26) we infer

$$\Gamma'(-\|u\|_\infty) \leq 0 \leq \Gamma'(\|u\|_\infty).$$

Then, by the continuity of  $\Gamma'$  there exists  $c \in \mathbb{R}$  such that (24) holds true.

Reciprocally, assume that there exists  $c \in \mathbb{R}$  such that  $\Gamma'(c) = 0$ . Using the fact that  $\Gamma'$  is nondecreasing, we have to consider the following three cases.

(i) It holds

$$\Gamma'(x) = \Gamma'(c) = 0 \quad \text{for all } x \geq c.$$

This implies that

$$g(r, x) = g(r, c) \quad \text{for all } r \in [R_1, R_2] \text{ and } x \geq c.$$

Let  $v$  be a solution of the problem

$$[r^{N-1}\phi(w')] = r^{N-1}g(r, c), \quad w'(R_1) = 0 = w'(R_2);$$

we know that this exists by Theorem 2.3 in [3]. Setting  $u = c + \|v\|_\infty + v$ , we get that  $u$  solves problem (3).

(ii) One has that

$$\Gamma'(x) = \Gamma'(c) = 0 \quad \text{for all } x \leq c.$$

In this case the reasoning is similar to that in the case (i).

(iii) There are  $x_1, x_2 \in \mathbb{R}$  with  $x_1 < c < x_2$  and  $\Gamma'(x_1) < 0 < \Gamma'(x_2)$ . If  $x \geq x_2$ , then

$$\begin{aligned} \Gamma(x) &= \Gamma(x_2) + \int_{R_1}^{R_2} r^{N-1} \left( \int_{x_2}^x g(r, t) dt \right) dr \\ &\geq \Gamma(x_2) + (x - x_2)\Gamma'(x_2). \end{aligned}$$

It follows that  $\Gamma(x) \rightarrow +\infty$  when  $x \rightarrow +\infty$ . Analogously  $\Gamma(x) \rightarrow +\infty$  when  $x \rightarrow -\infty$ . Hence,

$$(27) \quad \lim_{|x| \rightarrow \infty} \Gamma(x) = +\infty.$$

On the other hand, by the convexity of  $G(r, \cdot)$ , we have

$$G(r, u) \geq 2G\left(r, \frac{\tilde{u}}{2}\right) - G(r, -\tilde{u}) \quad \text{for all } r \in [R_1, R_2],$$

which gives

$$(28) \quad I(u) \geq \int_{R_1}^{R_2} r^{N-1} \Phi(u') dr + 2\Gamma\left(\frac{\tilde{u}}{2}\right) - \int_{R_1}^{R_2} r^{N-1} G(r, \tilde{u}) dr \quad \text{for all } u \in K.$$

The estimate (28) together with (6) and (27) show that we can find  $\rho > 0$  such that  $I(u) > 0$  provided that  $u \in K$  and  $|\bar{u}| > \rho$ . Then, the proof follows from Lemma 2 as in the proof of Theorem 2.  $\square$

**REMARK 3.** Theorem 3 can be stated equivalently as: *Let  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  be a continuous function such that  $G(r, \cdot)$  is convex for all  $r \in [R_1, R_2]$ . Then, problem (3) has at least one solution if and only if the real convex function  $x \mapsto \int_{R_1}^{R_2} r^{N-1} G(r, x) dr$  has a minimum.* Corresponding results for the Laplacian with Neumann or Dirichlet boundary conditions have been given in [10] and [11].

**EXAMPLE 3.** The Neumann problem with  $h \in C$

$$\operatorname{div}\left(\frac{\nabla v}{\sqrt{1 - |\nabla v|^2}}\right) = \arctan v - h(|x|) \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial \mathcal{A},$$

has at least one radial solution if and only if  $-\frac{\pi}{2} < \bar{h} < \frac{\pi}{2}$ .

**EXAMPLE 4.** The Neumann problem with  $h \in C$

$$\operatorname{div}\left(\frac{\nabla v}{\sqrt{1 - |\nabla v|^2}}\right) = \arctan v^+ - h(|x|) \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial \mathcal{A},$$

has at least one radial solution if and only if  $0 \leq \bar{h} < \frac{\pi}{2}$ .

**EXAMPLE 5.** The Neumann problem with  $h \in C$

$$\operatorname{div}\left(\frac{\nabla v}{\sqrt{1 - |\nabla v|^2}}\right) = e^v - h(|x|) \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial \mathcal{A},$$

has at least one radial solution if and only if  $\bar{h} > 0$ .

#### 4. (PS)–SEQUENCES AND SADDLE POINT SOLUTIONS

Towards the application of the minimax results obtained in Szulkin [13] to the functional  $I$  defined by (8) we have to know when  $I$  satisfies the compactness *Palais-Smale* (in short, (PS)) *condition*.

Viewing our functional framework from Section 2, we say that a sequence  $\{u_n\} \subset K$  is a (PS)–*sequence* if  $I(u_n) \rightarrow c \in \mathbb{R}$  and

$$(29) \quad \int_{R_1}^{R_2} r^{N-1} [\Phi(v') - \Phi(u'_n) + g(r, u_n)(v - u_n)] dr \geq -\varepsilon_n \|v - u_n\|_\infty \quad \text{for all } v \in K,$$

where  $\varepsilon_n \rightarrow 0+$ . According to [13], the functional  $I$  is said to satisfy the (PS) condition if any (PS)–sequence has a convergent subsequence in  $C$ .

The lemma below provides useful properties of the (PS)–sequences.

LEMMA 3. *Let  $\{u_n\}$  be a (PS)–sequence. Then the following hold true:*

- (i) *the sequence  $\left\{ \int_{R_1}^{R_2} r^{N-1} G(r, u_n) dr \right\}$  is bounded;*
- (ii) *if  $\{\tilde{u}_n\}$  is bounded, then  $\{u_n\}$  has a convergent subsequence in  $C$ ;*
- (iii) *one has that*

$$(30) \quad -\varepsilon_n \leq \int_{R_1}^{R_2} r^{N-1} g(r, u_n) dr \leq \varepsilon_n \quad \text{for all } n \in \mathbb{N}.$$

PROOF. (i) This is immediate from the fact that  $\{I(u_n)\}$  and  $\Phi$  are bounded.

(ii) From (6) and  $u_n \in K$ , the sequence  $\{\tilde{u}_n\}$  is bounded in  $W^{1,\infty}$ . By the compactness of the embedding  $W^{1,\infty} \subset C$ , we deduce that  $\{\tilde{u}_n\}$  has a convergent subsequence in  $C$ . Using then the boundedness of  $\{\tilde{u}_n\} \subset \mathbb{R}$  it follows that  $\{u_n\}$  has a convergent subsequence in  $C$ .

(iii) Taking  $v = u_n \pm 1$  in (29) one obtains (30). □

THEOREM 4. *Let  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  be a continuous function and  $l \in L^1_{N-1}$  be such that (22) is satisfied for a.e.  $r \in (R_1, R_2)$  and all  $x \in \mathbb{R}$ . If*

$$(31) \quad \lim_{|x| \rightarrow \infty} \int_{R_1}^{R_2} r^{N-1} G(r, x) dr = -\infty,$$

*then (3) has at least one solution.*

PROOF. We shall apply the Saddle Point Theorem [13, Theorem 3.5].

From (31) the functional  $I$  is not bounded from below. Indeed, if  $v = c \in \mathbb{R}$  is a constant function then

$$(32) \quad I(c) = \int_{R_1}^{R_2} r^{N-1} G(r, c) dr \rightarrow -\infty \quad \text{as } |c| \rightarrow \infty.$$

We split  $C = \mathbb{R} \oplus X$ , where  $X = \{v \in C : \bar{v} = 0\}$ . Note that

$$I(v) \geq \int_{R_1}^{R_2} r^{N-1} G(r, \tilde{v}) dr \quad \text{for all } v \in K \cap X,$$

which together with (6) imply that there is a constant  $\alpha \in \mathbb{R}$  such that

$$(33) \quad I(v) \geq \alpha \quad \text{for all } v \in X.$$

Using (32) and (33) we can find some  $R > 0$  so that

$$\sup_{S_R} I < \inf_X I,$$

where  $S_R = \{c \in \mathbb{R} : |c| = R\}$ .

It remains to show that  $I$  satisfies the (PS) condition. Let  $\{u_n\} \subset K$  be a (PS)-sequence. Since  $\{I(u_n)\}, \{\varphi(u_n)\}$  are bounded and, by (22) we have

$$\begin{aligned} \left| \int_{R_1}^{R_2} r^{N-1} [G(r, u_n) - G(r, \bar{u}_n)] dr \right| &\leq \int_{R_1}^{R_2} r^{N-1} \int_0^1 |g(r, \bar{u}_n + s\tilde{u}_n)\tilde{u}_n| ds dr \\ &\leq a(R_2 - R_1) \int_{R_1}^{R_2} r^{N-1} l(r) dr, \end{aligned}$$

from

$$I(u_n) = \varphi(u_n) + \int_{R_1}^{R_2} r^{N-1} G(r, \bar{u}_n) dr + \int_{R_1}^{R_2} r^{N-1} [G(r, u_n) - G(r, \bar{u}_n)] dr$$

it follows that there exists a constant  $\beta \in \mathbb{R}$  such that

$$\int_{R_1}^{R_2} r^{N-1} G(r, \bar{u}_n) dr \geq \beta.$$

Then by (31) the sequence  $\{\bar{u}_n\}$  is bounded and Lemma 3 (ii) ensures that  $\{u_n\}$  has a convergent subsequence in  $C$ . Consequently,  $I$  satisfies the (PS) condition and the conclusion follows from [13, Theorem 3.5] and Proposition 1.  $\square$

REMARK 4. Condition (31), also of the Ahmad-Lazer-Paul type [1] is, in some sense, ‘dual’ to condition (23).

EXAMPLE 6. For every  $h \in C$  such that  $-\frac{\pi}{2} < \bar{h} < \frac{\pi}{2}$ , the Neumann problem

$$\operatorname{div} \left( \frac{\nabla v}{\sqrt{1 - |\nabla v|^2}} \right) + \arctan v + \cos v = h(|x|) \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial \mathcal{A},$$

has at least one radial solution.

THEOREM 5. If  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  is a continuous function such that

$$(34) \quad \lim_{|x| \rightarrow \infty} G(r, x) = -\infty, \quad \text{uniformly in } r \in [R_1, R_2],$$

then (3) has at least one solution.

PROOF. We keep the notations introduced in the proof of Theorem 4. Clearly, (34) implies (31) and from the proof of Theorem 4 it follows that  $I$  has the geom-

entry required by the Saddle Point Theorem. To show that  $I$  satisfies the (PS) condition, let  $\{u_n\} \subset K$  be a (PS)–sequence. If  $\{|\bar{u}_n|\}$  is not bounded, we may assume going if necessary to a subsequence, that  $|\bar{u}_n| \rightarrow \infty$ . Using (6) and (34) we deduce that

$$G(r, u_n(r)) \rightarrow -\infty, \quad \text{uniformly in } r \in [R_1, R_2].$$

This implies

$$\int_{R_1}^{R_2} r^{N-1} G(r, u_n) dr \rightarrow -\infty,$$

contradicting Lemma 3 (i). Hence,  $\{\bar{u}_n\}$  is bounded and by Lemma 3 (ii), the sequence  $\{u_n\}$  has a convergent subsequence in  $C$ . Therefore,  $I$  satisfies the (PS) condition. The proof is complete.  $\square$

REMARK 5. No result corresponding to Theorem 5 holds for the Laplacian with Neumann (or Dirichlet) boundary conditions. Indeed, if  $\lambda_k$  is a positive eigenvalue of  $-\Delta$  on some bounded domain  $\Omega \subset \mathbb{R}^N$  with Neumann boundary conditions, and  $\varphi_k$  a corresponding eigenfunction, the problem

$$\Delta v = -\lambda_k v + \varphi_k(x) \quad \text{in } \Omega, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial\Omega$$

has no solution, but  $-\lambda_k \frac{u^2}{2} + \varphi_k(x)u \rightarrow -\infty$  uniformly in  $\bar{\Omega}$  when  $|u| \rightarrow \infty$ .

EXAMPLE 7. The Neumann problem

$$\operatorname{div}\left(\frac{\nabla v}{\sqrt{1 - |\nabla v|^2}}\right) + \frac{v + h(|x|)}{1 + [v + h(|x|)]^2} = \cos v \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial\mathcal{A},$$

has at least one radial solution for all  $h \in C$ .

### 5. MOUNTAIN PASS SOLUTIONS

In this section we consider problem (4) with  $\lambda > 0$  and  $m \geq 2$  fixed real numbers, and  $f : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  a continuous function satisfying the Ambrosetti–Rabinowitz condition [2]:

(AR) *There exists  $\theta > m$  and  $x_0 > 0$  such that*  

$$0 < \theta F(r, x) \leq x f(r, x) \quad \text{for all } r \in [R_1, R_2] \text{ and } |x| \geq x_0.$$

Note that for problem (4) the function  $g$  from the general functional framework in Section 2 is now defined in terms of  $f$  by

$$g(r, x) = \lambda|x|^{m-2}x - f(r, x) \quad \text{for all } (r, x) \in [R_1, R_2] \times \mathbb{R}$$



and accordingly,  $G$  entering in the definition of the energy functional  $I$  becomes

$$G(r, x) = \lambda \frac{|x|^m}{m} - F(r, x) \quad \text{for all } (r, x) \in [R_1, R_2] \times \mathbb{R}.$$

LEMMA 4. *Let  $p \geq 1$  be a real number. Then*

$$(35) \quad |u(r)|^p \geq |\bar{u}|^p - pa(R_2 - R_1)|\bar{u}|^{p-1}, \quad \forall u \in K, \forall r \in [R_1, R_2]$$

and there are constants  $\alpha_1, \alpha_2 \geq 0$  such that

$$(36) \quad |u(r)|^p \leq |\bar{u}|^p + \alpha_1 |\bar{u}|^{p-1} + \alpha_2, \quad \forall u \in K \text{ with } |\bar{u}| \geq 1, \forall r \in [R_1, R_2].$$

PROOF. The result is trivial for  $p = 1$ . If  $p > 1$ ,  $u \in K$  and  $r \in [R_1, R_2]$ , then, using the convexity of the differentiable function  $s \mapsto |s|^p$ , we get

$$\begin{aligned} |u(r)|^p &= |\bar{u} + \tilde{u}(r)|^p \geq |\bar{u}|^p + p|\bar{u}|^{p-2}\bar{u}\tilde{u}(r) \\ &\geq |\bar{u}|^p - p|\bar{u}|^{p-1}(R_2 - R_1)a. \end{aligned}$$

On the other hand, denoting by  $\tilde{p}$  the smallest integer larger or equal to  $p$  and letting  $M := a(R_2 - R_1)$ , we have, for all  $r \in [R_1, R_2]$ ,

$$\begin{aligned} |u(r)|^p &= |\bar{u} + \tilde{u}(r)|^p \leq (|\bar{u}| + M)^p = |\bar{u}|^p \left(1 + \frac{M}{|\bar{u}|}\right)^p \\ &\leq |\bar{u}|^p \left(1 + \frac{M}{|\bar{u}|}\right)^{\tilde{p}} = |\bar{u}|^p \left(1 + \sum_{k=1}^{\tilde{p}} \frac{\tilde{p}!}{k!(\tilde{p}-k)!} \frac{M^k}{|\bar{u}|^k}\right) \\ &= |\bar{u}|^p + \sum_{k=1}^{\tilde{p}} \frac{\tilde{p}!}{k!(\tilde{p}-k)!} M^k |\bar{u}|^{p-k}, \end{aligned}$$

and (36) follows easily. □

LEMMA 5. *If (AR) holds, then  $I$  satisfies the (PS) condition.*

PROOF. Let  $\{u_n\} \subset K$  be a (PS)-sequence. From Lemma 3 (i) and (35) there are constants  $c_1, d \in \mathbb{R}$  such that

$$(37) \quad \lambda \frac{R_2^N - R_1^N}{N} \frac{|\bar{u}_n|^m}{m} - c_1 |\bar{u}_n|^{m-1} - \int_{R_1}^{R_2} r^{N-1} F(r, u_n) dr \leq d \quad \text{for all } n \in \mathbb{N}.$$

Using Lemma 3 (iii) and  $\varepsilon_n \rightarrow 0$ , we may assume that

$$(38) \quad -1 \leq \lambda \int_{R_1}^{R_2} r^{N-1} |u_n|^{m-2} u_n dr - \int_{R_1}^{R_2} r^{N-1} f(r, u_n) dr \leq 1 \quad \text{for all } n \in \mathbb{N}.$$

Suppose, by contradiction, that  $\{|\bar{u}_n|\}$  is not bounded. Then, there is a subsequence of  $\{|\bar{u}_n|\}$ , still denoted by  $\{|\bar{u}_n|\}$ , with  $|\bar{u}_n| \rightarrow \infty$ . Let  $n_0 \in \mathbb{N}$  be such that  $|\bar{u}_n| \geq \max\{1, x_0 + a(R_2 - R_1)\}$  for all  $n \geq n_0$ . By virtue of (6) we have

$$|u_n(r)| \geq x_0 \quad \text{for all } r \in [R_1, R_2] \text{ and } n \geq n_0.$$

The (AR) condition ensures that

$$(39) \quad \text{sign } \bar{u}_n = \text{sign } u_n(r) = \text{sign } f(r, u_n(r)) \quad \text{for all } r \in [R_1, R_2] \text{ and } n \geq n_0$$

and

$$(40) \quad - \int_{R_1}^{R_2} r^{N-1} F(r, u_n) dr \\ \geq -\frac{\bar{u}_n}{\theta} \int_{R_1}^{R_2} r^{N-1} f(r, u_n) dr - \frac{1}{\theta} \int_{R_1}^{R_2} r^{N-1} f(r, u_n) \bar{u}_n dr \quad \text{for all } n \geq n_0.$$

From (38) and (36) there are constants  $c_2, c_3 \geq 0$  such that

$$(41) \quad -\frac{\bar{u}_n}{\theta} \int_{R_1}^{R_2} r^{N-1} f(r, u_n) dr \\ \geq -\lambda \frac{R_2^N - R_1^N}{\theta N} |\bar{u}_n|^m - c_2 |\bar{u}_n|^{m-1} - c_3 \quad \text{for all } n \geq n_0.$$

Also, using (6), (36), (38) and (39) we can find constants  $c_4, c_5, c_6 \geq 0$  so that

$$(42) \quad -\frac{1}{\theta} \int_{R_1}^{R_2} r^{N-1} f(r, u_n) \bar{u}_n dr \geq -c_4 |\bar{u}_n|^{m-1} - c_5 |\bar{u}_n|^{m-2} - c_6, \quad \text{for all } n \geq n_0.$$

From (40), (41) and (42) we obtain

$$(43) \quad - \int_{R_1}^{R_2} r^{N-1} F(r, u_n) dr \geq -\lambda \frac{R_2^N - R_1^N}{N} \frac{|\bar{u}_n|^m}{\theta} - (c_2 + c_4) |\bar{u}_n|^{m-1} \\ - c_5 |\bar{u}_n|^{m-2} - c_3 - c_6 \quad \text{for all } n \geq n_0.$$

Then, (43) together with  $\theta > m$  imply

$$\lambda \frac{R_2^N - R_1^N}{N} \frac{|\bar{u}_n|^m}{m} - c_1 |\bar{u}_n|^{m-1} - \int_{R_1}^{R_2} r^{N-1} F(r, u_n) dr \rightarrow +\infty \quad \text{as } n \rightarrow \infty,$$

contradicting (37). Consequently,  $\{\bar{u}_n\}$  is bounded and the proof follows from Lemma 3 (ii).  $\square$

LEMMA 6. *If (AR) holds and  $c \in \mathbb{R}$ , then  $I(c) \rightarrow -\infty$  as  $|c| \rightarrow \infty$ .*

PROOF. The (AR) condition implies (see [7]) that there exists  $\gamma \in C$ ,  $\gamma > 0$ , such that

$$(44) \quad F(r, x) \geq \gamma(r)|x|^\theta \quad \text{for all } r \in [R_1, R_2] \text{ and } |x| \geq x_0.$$

From (44) we infer

$$\begin{aligned} I(c) &= \lambda \frac{R_2^N - R_1^N}{mN} |c|^m - \int_{R_1}^{R_2} r^{N-1} F(r, c) \, dr \\ &\leq \lambda \frac{R_2^N - R_1^N}{mN} |c|^m - |c|^\theta \int_{R_1}^{R_2} r^{N-1} \gamma(r) \, dr, \end{aligned}$$

for all  $c \in \mathbb{R}$  with  $|c| \geq x_0$ . Then, the conclusion follows from  $\theta > m$  and  $\gamma > 0$ .  $\square$

LEMMA 7. *Assume that  $F$  satisfies*

$$(45) \quad \limsup_{x \rightarrow 0} \frac{mF(r, x)}{|x|^m} < \lambda \quad \text{uniformly in } r \in [R_1, R_2].$$

*Then there exist  $\alpha, \rho > 0$  such that*

$$(46) \quad \int_{R_1}^{R_2} r^{N-1} \left[ \lambda \frac{|u|^m}{m} - F(r, u) \right] \, dr \geq \alpha \quad \text{for all } u \in K \cap \partial B_\rho,$$

*where  $\partial B_\rho := \{u \in C : \|u\|_\infty = \rho\}$ .*

PROOF. Assumption (45) ensures that there are constants  $b < \lambda$  and  $\rho > 0$  such that

$$(47) \quad F(r, x) \leq \frac{b}{m} |x|^m \quad \text{for all } r \in [R_1, R_2] \text{ and } |x| \leq \rho.$$

We claim that:

$$(48) \quad \inf_{u \in K \cap \partial B_\rho} \int_{R_1}^{R_2} r^{N-1} |u|^m \, dr > 0.$$

Then, by virtue of (47) we have

$$\begin{aligned} &\int_{R_1}^{R_2} r^{N-1} \left[ \lambda \frac{|u|^m}{m} - F(r, u) \right] \, dr \\ &\geq \frac{\lambda - b}{m} \int_{R_1}^{R_2} r^{N-1} |u|^m \, dr =: \alpha \quad \text{for all } u \in K \cap \partial B_\rho, \end{aligned}$$

and (48) implies (46). In order to prove (48), suppose by contradiction that there exists a sequence  $\{u_n\} \subset K \cap \partial B_\rho$  such that

$$\int_{R_1}^{R_2} r^{N-1} |u_n|^m dr \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

It is clear that  $\{u_n\}$  is bounded in  $W^{1,\infty}$ . Passing to a subsequence if necessary, we may assume a that  $\{u_n\}$  is convergent in  $C$  to some  $u$ . This implies that  $\|u\|_\infty = \rho$  and

$$\int_{R_1}^{R_2} r^{N-1} |u_n|^m dr \rightarrow \int_{R_1}^{R_2} r^{N-1} |u|^m dr \quad \text{as } n \rightarrow \infty.$$

It follows that  $u = 0$ , contradiction with  $\|u\|_\infty = \rho > 0$ . Therefore, (48) holds true and the proof is complete. □

**THEOREM 6.** *Assume that the (AR) condition holds true. If  $F$  satisfies (45), then problem (4) has at least one nontrivial solution.*

**PROOF.** The proof follows immediately from Lemmas 5, 6 and 7 and the Mountain Pass Theorem [13, Theorem 3.2]) applied to the functional  $I$ . □

**REMARK 6.** Theorem 6 is of the type introduced by Ambrosetti and Rabinowitz [2] for nonlinear perturbations of the Laplacian with Dirichlet boundary conditions.

**EXAMPLE 8.** If  $\theta > m \geq 2$ ,  $\lambda > 0$  are given real numbers and  $\mu \in C$  is a positive function, then the Neumann problem

$$\operatorname{div} \left( \frac{\nabla v}{\sqrt{1 - |\nabla v|^2}} \right) = \lambda |v|^{m-2} v - \mu(|x|) |v|^{\theta-2} v \quad \text{in } \mathcal{A}, \quad \frac{\partial v}{\partial \nu} = 0 \quad \text{on } \partial \mathcal{A},$$

has at least one nontrivial radial solution.

### 6. THE PERIODIC CASE

Let  $\Phi : [-a, a] \rightarrow \mathbb{R}$  and  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  be as above, i.e.,  $\Phi$  satisfies  $(H_\Phi)$  and  $g$  is continuous. The periodic problem (5) can be treated quite similarly to problem (3) with the following modifications. Taking  $N = 1$ , one works with

$$K_P := \{v \in W^{1,\infty} : \|v'\|_\infty \leq a, v(R_1) = v(R_2)\}$$

instead of  $K$ , and  $\Psi_P : C \rightarrow (-\infty, +\infty]$  given by

$$\Psi_P(v) = \begin{cases} \int_{R_1}^{R_2} \Phi(v'), & \text{if } v \in K_P, \\ +\infty, & \text{otherwise,} \end{cases}$$

instead of  $\Psi$ . With  $\mathcal{G}_P : C \rightarrow \mathbb{R}$  defined by

$$\mathcal{G}_P(u) = \int_{R_1}^{R_2} G(r, u) \, dr, \quad u \in C,$$

the energy functional  $I_P : C \rightarrow (-\infty, +\infty]$  will be now  $I_P = \Psi_P + \mathcal{G}_P$ .

The references from [4] are replaced by the similar ones from [5].

We only state the following existence results which are obtained as the corresponding ones for problems (3) and (4) by no longer than “mutatis mutandis” arguments.

**PROPOSITION 2.** *If  $u \in K_P$  is a critical point of  $I_P$ , then  $u$  is a solution of problem (5).*

Denoting

$$\hat{K}_{P,\rho} := \{u \in K_P : |\bar{u}| \leq \rho\},$$

we have the following

**LEMMA 8.** *Assume that there is some  $\rho > 0$  such that*

$$\inf_{\hat{K}_{P,\rho}} I_P = \inf_{K_P} I_P.$$

*Then  $I_P$  is bounded from below and attains its infimum at some  $u \in \hat{K}_{P,\rho}$ , which solves problem (5).*

By means of Lemma 8 we can easily reformulate Corollary 1, Theorem 1 and Theorem 5 for the periodic problem (5). Also we note the following versions of the other theorems.

**THEOREM 7.** *Assume that there exists  $l \in L^1$  such that*

$$|g(r, x)| \leq l(r)$$

*for a.e.  $r \in (R_1, R_2)$  and all  $x \in \mathbb{R}$ . If either*

$$(49) \quad \liminf_{|x| \rightarrow \infty} \int_{R_1}^{R_2} G(r, x) \, dr > (R_2 - R_1) \left( a \int_{R_1}^{R_2} l(r) \, dr \right)$$

*or*

$$\lim_{|x| \rightarrow \infty} \int_{R_1}^{R_2} G(r, x) \, dr = -\infty,$$

*then problem (5) has at least one solution  $u$ . Moreover, if (49) holds true then  $u$  minimizes  $I_P$  on  $C$ .*

**THEOREM 8.** *Let  $g : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  be a continuous function such that  $G(r, \cdot)$  is convex for all  $r \in [R_1, R_2]$ . Then, problem (5) has at least one solution if and only if there is some  $c \in \mathbb{R}$  such that*

$$\int_{R_1}^{R_2} g(r, c) dr = 0.$$

**THEOREM 9.** *Let  $f : [R_1, R_2] \times \mathbb{R} \rightarrow \mathbb{R}$  be a continuous function such that the (AR) condition is fulfilled. If  $F$  satisfies (45), then the problem*

$$[\phi(u')] = \lambda |u|^{m-2} u - f(r, u), \quad u(R_1) - u(R_2) = 0 = u'(R_1) - u'(R_2),$$

*has at least one nontrivial solution for any  $\lambda > 0$  and  $m \geq 2$ .*

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