

# Spectra of $C^*$ algebras

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# Conventions and Notations

- Spaces  $P, X, Y, \dots$  are (at least)  $T_0$  and are second countable, algebras  $A, B, \dots$  are separable, ...
- ... except corona spaces  $\beta(P) \setminus P$ , multiplier algebras  $\mathcal{M}(B)$ , and ideals of corona algebras  $Q(B) := \mathcal{M}(B)/B$ , ...
- We use the natural isomorphisms  $\mathcal{I}(A) \cong \mathbb{O}(\text{Prim}(A)) \cong \mathcal{F}(\text{Prim}(A))^{op}$ .
- $\mathbb{Q} := [0, 1]^\infty$  denotes the Hilbert cube (with its coordinate-wise order).
- A  $T_0$  space  $X$  is called “**sober**” (or “point-complete”) if each prime closed subset  $F$  of  $X$  is the closure  $\overline{\{x\}} = F$  of a singleton  $\{x\}$ .

Characterization of  $\text{Prim}(A)$  for amenable  $A$  (H.Harnisch, E.K., M.Rørdam):

### Theorem (1)

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*if and only if,*

*there is a Polish l.c. space  $P$  and a continuous map  $\pi: P \rightarrow X$  such that*

*$\pi^{-1}: \mathbb{O}(X) \rightarrow \mathbb{O}(P)$  is injective (=:  $\pi$  is **pseudo-epimorphic**), and*



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*$\pi^{-1}: \mathbb{O}(X) \rightarrow \mathbb{O}(P)$  is injective (=:  $\pi$  is **pseudo-epimorphic**), and  $(\bigcap_n \pi^{-1}(U_n))^\circ = \pi^{-1}((\bigcap_n U_n)^\circ)$  for each sequence  $U_1, U_2, \dots \in \mathbb{O}(X)$  (=:  $\pi$  is **pseudo-open**).*

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The algebra  $A \otimes \mathcal{O}_2 \otimes \mathbb{K}$  is uniquely determined by  $X$  up to (unitarily homotopic) isomorphisms.

Notice: A continuous epimorphism  $\pi: P \rightarrow X$  is not necessarily *pseudo-open*.

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We call a map  $\Psi: \mathbb{O}(X) \rightarrow \mathbb{O}(Y)$  “*lower semi-continuous*” if  $(\bigcap_n \Psi(U_n))^\circ = \Psi((\bigcap_n U_n)^\circ)$  for each sequence  $U_1, U_2, \dots \in \mathbb{O}(X)$ . (Thus,  $\pi$  is pseudo-open, if and only if,  $\Psi := \pi^{-1}$  is lower semi-continuous.)

If one works with *closed sets*, then one has to replace intersections by unions and interiors by closures.

## Theorem (2) (N.Ch. Phillips, E.K.)

Suppose that a locally compact amenable group  $G$  acts topologically free and minimally on  $\text{Prim}(A)$  of some amenable  $C^*$ -algebra  $A$ , by  $\alpha: G \rightarrow \text{Homeo}(\text{Prim}(A))$ .

Then there exists a continuous group-action  $\beta: G \rightarrow \text{Aut}(B)$  on the  $C^*$ -algebra  $B := A \otimes \mathcal{O}_2 \otimes \mathbb{K}$  that implements  $\alpha$ , and has crossed product  $B \rtimes_{\beta} G \cong \mathcal{O}_2 \otimes \mathbb{K}$ .

## Definition (3) (N.Ch. Phillips compactification)

Let  $\Xi(P)$  denote the prime  $T_0$  space  $P \cup \{\infty\}$  with topology given by the system of open subsets

$$\mathcal{O}(\Xi(P)) = \{\emptyset, \Xi(P) \setminus C; C \subset P, \text{ compact in } P\}.$$

## Theorem (4)

*There exists an amenable  $C^*$ -algebra  $A$  with  $\text{Prim}(A) \cong \Xi(P)$ .*

If we apply the above theorems to  $\Xi(G)$ , we get:

## Corollary (5)

*Every non-compact l.c. amenable group  $G$  has a co-action  $\hat{\beta}$  on  $\mathcal{O}_2 \otimes \mathbb{K}$  such that  $B := (\mathcal{O}_2 \otimes \mathbb{K}) \rtimes \hat{G}$  is prime and the (dual) action  $\beta$  of  $G$  on  $B$  is minimal and topologically free.*

*If  $G := \mathbb{R} = \hat{G}$ , there is also an action of  $\mathbb{R} = \hat{\mathbb{R}}$  on  $\mathcal{O}_2$  itself with this property.*

## Proposition (6)

If  $B$  is stable and  $\Psi: \mathcal{I}(B) \rightarrow \mathcal{I}(A)$  is a lower semi-continuous action of  $\text{Prim}(B)$  on  $A$ , then there exists a lower s.c. action

$\mathcal{M}(\Psi): \mathcal{I}(\mathcal{M}(B)) \rightarrow \mathcal{I}(A)$  of  $\text{Prim}(\mathcal{M}(B))$  on  $A$ , that has the following properties (i)–(iii):

- (i)  $\mathcal{M}(\Psi)$  is **monotone** upper semi-continuous. (:= sup's of upward directed families of ideals will be respected).
- (ii)  $J_1 \cap \delta_\infty(\mathcal{M}(B)) = J_2 \cap \delta_\infty(\mathcal{M}(B))$  implies  $\mathcal{M}(\Psi)(J_1) = \mathcal{M}(\Psi)(J_2)$ .
- (iii)  $\mathcal{M}(\Psi)(\mathcal{M}(B, I)) = \Psi(I)$  for all  $I \in \mathcal{I}(B)$ .

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The “extension”  $\mathcal{M}(\Psi)$  of  $\Psi$  with (i)–(iii) is unique.

For strongly p.i. (not necessarily separable)  $B$  and exact  $A$ , there is a nuclear  $*$ -morphism  $h: A \rightarrow B$  with  $\Psi(J) = h^{-1}(h(A) \cap J)$ , if and only if,  $\Psi$  is lower s.c. and monotone upper s.c. It yields the following theorem.



## Theorem (7) (NC-selection)

*Suppose that  $B$  is stable,  $A \otimes \mathcal{O}_2$  contains an exact and regular  $C^*$ -subalgebra  $C \subset A \otimes \mathcal{O}_2$ , and that  $\Psi: \mathcal{I}(B) \rightarrow \mathcal{I}(A)$  is a lower s.c. action of  $\text{Prim}(B)$  on  $A$ .*

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Then there is a  $*$ -morphism  $h: A \rightarrow \mathcal{M}(B)$  such that  $\delta_\infty \circ h$  is unitarily equivalent to  $h$ ,  $\Psi(J) = h^{-1}(h(A) \cap \mathcal{M}(B, J))$  and that

$$[h]_J: A/\Psi(J) \rightarrow \mathcal{M}(B/J) \cong \mathcal{M}(B)/\mathcal{M}(B, J)$$

is weakly nuclear for all  $J \in \mathcal{I}(B)$ .

Here, a subalgebra  $C \subset D$  ( $:= A \otimes \mathcal{O}_2$ ) is **regular** if  $C$  separates the ideals of  $D$  and  $C \cap (I + J) = (C \cap I) + (C \cap J)$  for all  $I, J \in \mathcal{I}(D)$ .

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Theorem 7 applies to necessary and sufficient criteria for (ideal-system-) equivariant semi-splitness of extensions.

Let  $\epsilon: B \rightarrow E$  a  $*$ -monomorphism onto a closed ideal of  $E$  and  $\pi: E \rightarrow A$  an epimorphism such that  $\epsilon(B)$  is the kernel of  $\pi$ . We denote by  $\gamma: A \rightarrow Q(B) = \mathcal{M}(B)/B$  the Busby invariant of the extension

$$0 \rightarrow B \xrightarrow{\epsilon} E \xrightarrow{\pi} A \rightarrow 0.$$

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Now we consider general “actions”  $\psi_B: S \rightarrow \mathcal{I}(B)$ ,  $\psi_E: S \rightarrow \mathcal{I}(E)$ , and  $\psi_A: S \rightarrow \mathcal{I}(A)$ , of a set  $S$  on  $B$ ,  $E$  and  $A$ . We require that the extension  $E$  is  $\psi$ -equivariant:

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- (a)  $\epsilon(\psi_B(s)) = \epsilon(B) \cap \psi_E(s) = \epsilon(B)\psi_E(s)$ , and
- (b)  $\psi_A(s) = \pi(\psi_E(s))$  for all  $s \in S$ .

i.e.,  $0 \rightarrow \psi_B(s) \rightarrow \psi_E(s) \rightarrow \psi_A(s) \rightarrow 0$  is exact for each  $s \in S$ .

An action  $\Phi: \mathcal{I}(A) \rightarrow \mathcal{I}(B)$  of  $\text{Prim}(A)$  on  $B$  is **upper semi-continuous** if  $\Psi$  preserves sup of families in  $\mathcal{I}(A)$ , i.e.,  $\Psi(I + J) = \Psi(I) + \Psi(J)$  and  $\Psi$  is monotone upper semi-continuous.

### Lemma (8)

*The is a unique maximal upper semi-continuous map  $\Phi: \mathcal{I}(A) \rightarrow \mathcal{I}(B)$  with the property that  $\Phi(\psi_A(s)) \subset \psi_B(s)$  for all  $s \in S$ .*

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Every upper semi-continuous action  $\Phi$  has a lower semi-continuous **adjoint map**  $\Psi: \mathcal{I}(B) \rightarrow \mathcal{I}(A)$  such that  $(\Psi, \Phi)$  build a Galois connection, i.e.,  $\Psi(J) \supset I$  iff  $J \supset \Phi(I)$ . The rule is: The upper adjoint is lower semi-continuous (preserves inf).



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Applications of Theorem 7 to the adjoint  $\Psi$  of  $\Phi$  in Lemma 8 implies the following necessary and sufficient criterion (ii):

## Theorem (9)

Let  $B, E, A, \epsilon, \pi, \gamma, \psi_Y: S \rightarrow \mathcal{I}(Y)$  (for  $Y \in \{B, E, A\}$ ) be as above, and let  $\Phi: \mathcal{I}(A) \rightarrow \mathcal{I}(B)$  the map given in Lemma 8.

Suppose, in addition, that  $A$  is exact and that  $B$  is weakly injective (has the WEP of Lance).

Then the following properties (i) and (ii) of the extension are equivalent:

- (i) The extension has an  $S$ -equivariant c.p. splitting map, i.e., there is a c.p. map  $V: A \rightarrow E$  with  $\pi \circ V = \text{id}_A$  and  $V(\psi_A(s)) \subset \psi_E(s)$  for all  $s \in S$ .
- (ii) The Busby invariant  $\gamma: A \rightarrow \mathcal{Q}(B)$  is nuclear, and,

$$\pi_B(\mathcal{M}(B, \Phi(J))) \supset \gamma(J) \quad \forall J \in \mathcal{I}(A)$$

A subset  $C$  of a  $T_0$  space  $X$  is **saturated** if  $C = \text{Sat}(C)$ , where  $\text{Sat}(C)$  means the intersection of all  $U \in \mathcal{O}(X)$  with  $U \supset C$ .

### Definition (10)

A sober  $T_0$  space  $X$  is called “**coherent**” if the intersection  $C_1 \cap C_2$  of two *saturated* quasi-compact subsets  $C_1, C_2 \subset X$  is again quasi-compact.

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Now we give some partial results concerning the (open) **Question**:  
Is every (second-countable) *coherent* locally quasi-compact sober  $T_0$  space  $X$  homeomorphic to the primitive ideal spaces  $\text{Prim}(A)$  of some *amenable*  $A$ ?

Let  $X$  a locally quasi-compact sober  $T_0$  space,  $\mathcal{F}(X)$  the lattice of closed subsets  $F \subset X$ .

### Definition (11)

The topological space  $\mathcal{F}(X)_{\text{lsc}}$  is the set  $\mathcal{F}(X)$  with the  $T_0$  **order topology** that is *generated* by the complements

$$\mathcal{F}(X) \setminus [\emptyset, F] = \{G \in \mathcal{F}(X); G \cap U \neq \emptyset\} =: \mu_U$$

(where  $U = X \setminus F$ ) of the intervals  $[\emptyset, F]$  for all  $F \in \mathcal{F}(X)$ .

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The topological space  $\mathcal{F}(X)_{\text{isc}}$  is the set  $\mathcal{F}(X)$  with the  $T_0$  **order topology** that is *generated* by the complements

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(where  $U = X \setminus F$ ) of the intervals  $[\emptyset, F]$  for all  $F \in \mathcal{F}(X)$ .

The **Fell-Vietoris topology** on  $\mathcal{F}(X)$  is the topology, that is *generated* by the sets  $\mu_U$  ( $U \in \mathcal{O}(X)$ ) and the sets  $\mu_C := \{G \in \mathcal{F}(X); G \cap C = \emptyset\}$  for all *quasi-compact*  $C \subset X$ . (The induced topology on  $\mathcal{O}(X) \cong \mathcal{F}(X)^{\text{op}}$  is called **Larson topology**.)

The space  $\mathcal{F}(X)_{\text{isc}}$  is a *coherent* second countable locally quasi-compact sober  $T_0$  space, and the space  $\mathcal{F}(X)_H$  (with Fell-Vietoris topology of Def. 11) is a *compact Polish* space.

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### Definition (12)

A map  $f: X \rightarrow [0, \infty)$  is a **Dini function** if it is lower semi-continuous and  $\sup f(\bigcap_n F_n) = \inf_n \{\sup f(F_n)\}$  for every decreasing sequence  $F_1 \supset F_2 \supset \dots$  of closed subsets of  $X$ .

There are several other definitions — e.g. by the generalized Dini Lemma — that are equivalent for all sober spaces.



The space  $\mathcal{F}(X)_{\text{lsc}}$  is a *coherent* second countable locally quasi-compact sober  $T_0$  space, and the space  $\mathcal{F}(X)_H$  (with Fell-Vietoris topology of Def. 11) is a *compact Polish* space.

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There are several other definitions — e.g. by the generalized Dini Lemma — that are equivalent for all sober spaces.

For sober spaces  $X$  one has also that a function  $f: X \rightarrow [0, 1]$  is Dini, if and only if,  $f: X \rightarrow [0, 1]_{\text{lsc}}$  is continuous and the restriction  $f: X \setminus f^{-1}(0) \rightarrow (0, 1]_{\text{lsc}}$  is **proper**.

The ordered Hilbert cube  $\mathbb{Q}$  is nothing else  $\mathcal{F}(Y)$  for  $Y := X_0 \uplus X_0 \uplus \dots$  where  $X_0 := (0, 1]_{\text{isc}}$ . The Fell-Vietoris topology is just the ordinary Hausdorff topology on  $\mathbb{Q}$ .

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If  $X$  is locally quasi-compact sober  $T_0$  space, then a dense sequence  $g_1, g_2, \dots$  in the Dini functions  $g$  on  $X$  with  $\sup g(X) = 1$  defines an order isomorphism  $\iota: \mathcal{F} \rightarrow \mathbb{Q}$  onto a max-closed subset  $\iota(\mathcal{F})$  of  $\mathbb{Q}$  with  $\iota(\emptyset) = 0, \iota(X) = 1$  (Construction of J.M.G. Fell in case of  $C^*$ -algebras):

$$\iota(F) := (\sup g_1(F), \sup g_2(F), \dots) \in \mathbb{Q}.$$

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If  $X$  is locally quasi-compact sober  $T_0$  space, then a dense sequence  $g_1, g_2, \dots$  in the Dini functions  $g$  on  $X$  with  $\sup g(X) = 1$  defines an order isomorphism  $\iota: \mathcal{F} \rightarrow \mathbb{Q}$  onto a max-closed subset  $\iota(\mathcal{F})$  of  $\mathbb{Q}$  with  $\iota(\emptyset) = 0, \iota(X) = 1$  (Construction of J.M.G. Fell in case of  $C^*$ -algebras):

$$\iota(F) := (\sup g_1(F), \sup g_2(F), \dots) \in \mathbb{Q}.$$

The image  $\iota(\mathcal{F}(X))$  is closed in  $\mathbb{Q}$  (with Hausdorff topology) and  $\iota$  defines an isomorphism from  $\mathcal{F}(X)$  onto  $\iota(\mathcal{F}(X))$  with respect to both topologies on  $\mathcal{F}(X)$  and  $\mathbb{Q}$ .

Thus,  $X \cong \eta(X) \subset \overline{\eta(X)}^H \setminus \{0\} \subset \mathcal{F}(X)_H \subset \mathbb{Q}$ , considered as Polish spaces, with  $X \ni x \mapsto \eta(x) := \overline{\{x\}} \in \mathcal{F}(X)$ .

## Theorem (13)

Let  $X$  a second countable locally (quasi-)compact sober  $T_0$  space.  
Following properties (i)-(v) of  $X$  are equivalent:

- (i)  $X$  is **coherent**.
- (ii) The image  $\eta(X) \cong X$  in  $\mathcal{F}(X) \setminus \{\emptyset\}$  is **closed** in  $\mathcal{F}(X) \setminus \{\emptyset\}$  with respect to the Fell-Vietoris topology on  $\mathcal{F}(X)$ .
- (iii) The set  $\mathcal{D}(X)$  of Dini functions on  $X$  is **convex**.
- (iv)  $\mathcal{D}(X)$  is **min-closed**.
- (v)  $\mathcal{D}(X)$  is **multiplicatively closed**.

## Lemma (14)

- (I) *Each closed subset  $F \subset \mathbb{Q}_H$  is a coherent sober subspace  $F_{\text{lsc}}$  of  $\mathbb{Q}_{\text{lsc}}$ , and is the intersection of an decreasing sequence  $F_k$  of closed subspaces of  $\mathbb{Q}_H$  that are continuously order-isomorphic to spaces  $G_k \times \mathbb{Q}$  with  $G_k \subset [0, 1]^{n_k}$  a finite union of  $n_k$ -dimensional (small) cubes.*
- (II) *If  $F = \bigcap_k F_k$  for a sequence  $F_1 \supset F_2 \supset \dots$  of closed subsets in  $\mathcal{F}(\mathbb{Q}_H)$ , and if each  $(F_k)_{\text{lsc}}$  is the primitive ideal space of an amenable  $C^*$ -algebra, then  $F_{\text{lsc}}$  is the primitive ideal space of an amenable  $C^*$ -algebra.*

## Corollary (15)

*If there is a coherent sober l.c. space  $X$  that is not homeomorphic to the primitive ideal space of an amenable  $C^*$ -algebra, then there is  $n \in \mathbb{N}$  and a finite union  $Y$  of (Hausdorff-closed) cubes in  $[0, 1]^n$  such that  $Y$  with induced order-topology is not the primitive ideal space of any amenable  $C^*$ -algebra.*

## Theorem (16) (O.B. Ioffe, E.K.)

*If  $G \subset [0, 1]^n$  is a finite union of cubes, then the space  $G_{\text{isc}}$  has a finite decomposition series  $U_1 \subset U_2 \subset \dots \subset U_k$ , by open subsets  $U_\ell \subset G_{\text{isc}}$  such that  $U_{\ell+1} \setminus U_\ell$  is the primitive ideal space of an amenable  $C^*$ -algebra.*

It leads us to the following conjecture (open question).



## Conjecture

*Suppose that  $X$  is a locally quasi-compact sober space, and  $U \subset X$  is open.*

*$X$  is homeomorphic to the primitive ideal space of an amenable  $C^*$ -algebra if  $U$  and  $X \setminus U$  are homeomorphic to primitive ideal spaces of amenable  $C^*$ -algebras.*

*A positive result would give that sober l.q-c. spaces are primitive ideal spaces of amenable  $C^*$ -algebras — if they have decomposition series by open subsets  $\{U_\alpha\}$  with coherent spaces  $U_{\alpha+1} \setminus U_\alpha$ .*