# Spectra of C\* algebras, $\mathbb{R}$ -actions on $\mathcal{O}_2$ , and Extension

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# TOC

- Spectra of amenable C\*-algebras.
- Application: Exotic line-action on Cuntz algebras.
- NC-Selection and semi-split Extensions.
- Study of coherent I.q-compact spaces.

# **Conventions and Notations**

- Spaces P, X, Y, · · · are (at least) T₀ and are second countable, algebras A, B, . . . are separable, ...
- ... except corona spaces  $\beta(P) \setminus P$ , multiplier algebras  $\mathcal{M}(B)$ , and ideals of corona algebras  $Q(B) := \mathcal{M}(B)/B$ , ...
- We use the natural isomorphisms

 $\mathcal{I}(A) \cong \mathbb{O}(\operatorname{Prim}(A)) \cong \mathcal{F}(\operatorname{Prim}(A))^{op}.$ 

- $\mathbb{Q} := [0,1]^{\infty}$  denotes the Hilbert cube (with its coordinate-wise order).
- A T<sub>0</sub> space X is called "sober" (or "point-complete") if each prime closed subset F of X is a the closure {x} = F of a singleton {x}.

# Spectra of amenable algebras (1)

Characterization of Prim(A) for amenable A (H.Harnisch, E.K., M.Rørdam):

**Theorem 1.** A sober space X is homeomorphic to a primitive ideal space of an amenable  $C^*$ -algebra A, if and only if,

there is a Polish I.c. space P and a continuous map  $\pi \colon P \to X$  such that

 $\pi^{-1}: \mathbb{O}(X) \to \mathbb{O}(P)$  is injective (=:  $\pi$  is pseudoepimorphic),

and

 $(\bigcap_n \pi^{-1}(U_n))^\circ = \pi^{-1}((\bigcap_n U_n)^\circ)$  for each sequence  $U_1, U_2, \ldots \in \mathbb{O}(X)$  (=:  $\pi$  is pseudo-open).

The algebra  $A \otimes \mathcal{O}_2 \otimes \mathbb{K}$  is uniquely determined by X up to (unitarily homotopic) isomorphisms.

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# Spectra of amenable algebras (2)

Notice: A continuous epimorphism  $\pi \colon P \to X$  is not necessarily *pseudo-open*.

We call a map  $\Psi \colon \mathbb{O}(X) \to \mathbb{O}(Y)$  "lower semicontinuous" if  $(\bigcap_n \Psi(U_n))^\circ = \Psi((\bigcap_n U_n)^\circ)$  for each sequence  $U_1, U_2, \ldots \in \mathbb{O}(X)$ .

(Thus,  $\pi$  is pseudo-open, if and only if,  $\Psi := \pi^{-1}$  is lower semi-continuous.)

If one works with *closed sets*, then one has to replace intersections by unions and interiors by closures.

# **Exotic** *G*-actions (1)

**Theorem 2.** [N.Ch. Phillips, E.K.] Suppose that a locally compact amenable group G acts topologically free and minimal on Prim(A) of some amenable  $C^*$ -algebra A, by  $\alpha: G \rightarrow$ Homeo(Prim(A)).

Then there exists a continuous group-action  $\beta \colon G \to \operatorname{Aut}(B)$  on the C\*-algebra  $B := A \otimes \mathcal{O}_2 \otimes \mathbb{K}$  that implements  $\alpha$ , and has crossed product  $B \rtimes_{\beta} G \cong \mathcal{O}_2 \otimes \mathbb{K}$ .

**Definition 3.** [N.Ch. Phillips compactification] Let  $\Xi(P)$  denote the prime  $T_0$  space  $P \cup \{\infty\}$  with topology given by the system of open subsets

 $\mathbb{O}(\Xi(P)) = \{ \emptyset, \Xi(P) \setminus C \, ; \ C \subset P, \text{ compact in } P \, \} \, .$ 

# **Exotic** *G*-actions (2)

**Theorem 4.** There exists an amenable  $C^*$ -algebra A with  $Prim(A) \cong \Xi(P)$ .

If we apply the above theorems to  $\Xi(G)$ , we get:

**Corollary 5.** Every non-compact amenable *l.c.* group G has a co-action  $\widehat{\beta}$  on  $\mathcal{O}_2 \otimes \mathbb{K}$  such that  $B := (\mathcal{O}_2 \otimes \mathbb{K}) \rtimes \widehat{G}$  is prime and the (dual) action  $\beta$ of G on B is minimal and toplogically free.

If  $G := \mathbb{R} = \widehat{G}$ , there is also an action of  $\mathbb{R} = \widehat{\mathbb{R}}$ on  $\mathcal{O}_2$  itself with this property.

## NC-Selection and Extensions (1)

**Proposition 6.** If *B* is stable and  $\Psi: \mathcal{I}(B) \to \mathcal{I}(A)$  is a lower semi-continuous action of Prim(B)on *A*, then there exists a lower s.c. action  $\mathcal{M}(\Psi): \mathcal{I}(\mathcal{M}(B)) \to \mathcal{I}(A)$  of  $Prim(\mathcal{M}(B))$  on *A*, that has the following properties (i)-(iii):

- (i)  $\mathcal{M}(\Psi)$  is monotone upper semi-continuous. (:=  $\sup$ 's of upward directed families of ideals will be respected).
- (ii)  $\mathcal{M}(\Psi)(J_1) = \mathcal{M}(\Psi)(J_1)$  if  $J_1 \cap \delta_{\infty}(\mathcal{M}(B)) = J_2 \cap \delta_{\infty}(\mathcal{M}(B)).$

(iii)  $\mathcal{M}(\Psi)(\mathcal{M}(B,I)) = \Psi(I)$  for all  $I \in \mathcal{I}(B)$ .

The "extension"  $\mathcal{M}(\Psi)$  of  $\Psi$  with (i)–(iii) is unique.

For strongly p.i. (not necessarily separable) B and exact A, there is a nuclear \*-morphism  $h: A \to B$ 

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with  $\Psi(J) = h^{-1}(h(A) \cap J)$ , if and only if,  $\Psi$  is lower s.c. and monotone upper s.c. It yields the following theorem.

## **NC-Selection and Extensions (2)**

**Theorem 7.** [NC-selection] Suppose that B is stable,  $A \otimes \mathcal{O}_2$  contains a regular exact  $C^*$ -algebra  $C \subset A \otimes \mathcal{O}_2$ , and that  $\Psi \colon \mathcal{I}(B) \to \mathcal{I}(A)$  is a lower s.c. action of Prim(B) on A.

Then there is a \*-morphism  $h: A \to \mathcal{M}(B)$  such that  $\delta_{\infty} \circ h$  is unitarily equivalent to h,  $\Psi(J) = h^{-1}(h(A) \cap \mathcal{M}(B, J))$  and that

 $[h]_J \colon A/\Psi(J) \to \mathcal{M}(B/J) \cong \mathcal{M}(B)/\mathcal{M}(B,J)$ 

is weakly nuclear for all  $J \in \mathcal{I}(B)$ .

Here, a subalgebra  $C \subset D$  is **regular** if C separates the ideals of D and  $C \cap (I+J) = (C \cap I) + (C \cap J)$ for all  $I, J \in \mathcal{I}(D)$ .

Theorem 7 applies to necessary and sufficient criteria for (ideal-system-) equivariant semi-splitness of extensions.

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#### NC-Selection and Extensions (3)

Let  $\epsilon \colon B \to E$  a \*-monomorphism onto a closed ideal of E and  $\pi \colon E \to A$  an epimorphism such that  $\epsilon(B)$  is the kernel of  $\pi$ . We denote by  $\gamma \colon A \to Q(B) = \mathcal{M}(B)/B$  the Busby invariant of the extension

$$0 \to B \xrightarrow{\epsilon} E \xrightarrow{\pi} A \to 0$$
.

Now we consider general "actions"  $\psi_B \colon S \to \mathcal{I}(B), \ \psi_E \colon S \to \mathcal{I}(E), \ \text{and} \ \psi_A \colon S \to \mathcal{I}(A), \ \text{of a set}$ S on B, E and A. We require that the extension E is  $\psi$ -equivariant:

(a) 
$$\epsilon(\psi_B(s)) = \epsilon(B) \cap \psi_E(s) = \epsilon(B)\psi_E(s)$$
, and  
(b)  $\psi_A(s) = \pi(\psi_E(s))$  for all  $s \in S$ .

i.e.,  $0 \to \psi_B(s) \to \psi_E(s) \to \psi_A(s) \to 0$  is exact for each  $s \in S$ .

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## NC-Selection and Extensions (4)

An action  $\Phi: \mathcal{I}(A) \to \mathcal{I}(B)$  of Prim(A) on Bis **upper semi-continuous** if  $\Psi$  preserves sup of families in  $\mathcal{I}(A)$ , i.e.,  $\Psi(I + J) = \Psi(I) + \Psi(J)$  and  $\Psi$  is monotone upper semi-continuous.

**Lemma 8.** The is a unique maximal upper semicontinuous map  $\Phi: \mathcal{I}(A) \to \mathcal{I}(B)$  with the property that  $\Phi(\psi_A(s)) \subset \psi_B(s)$  for all  $s \in S$ .

Every upper semi-continuous action  $\Phi$  has a lower semi-continuous adjoint map  $\Psi: \mathcal{I}(B) \to \mathcal{I}(A)$  such that  $(\Psi, \Phi)$  build a Galois connection, i.e.,  $\Psi(J) \supset I$ iff  $J \supset \Phi(I)$ . The rule is: The upper adjoint is lower semi-continuous (preserves inf).

Applications of Theorem 7 to the adjoint  $\Psi$  of  $\Phi$  in Lemma 8 implies the following necessary and sufficient criterion (ii):

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#### **NC-Selection and Extensions (5)**

**Theorem 9.** Let B, E, A,  $\epsilon$ ,  $\pi$ ,  $\gamma$ ,  $\psi_Y \colon S \to \mathcal{I}(Y)$ (for  $Y \in \{B, E, A\}$ ) be as above, and let  $\Phi \colon \mathcal{I}(A) \to \mathcal{I}(B)$  the map given in Lemma 8.

Suppose, in addition, that A is exact and that B is weakly injective (has the WEP of Lance).

Then the following properties (i) and (ii) of the extension are equivalent:

- (i) The extension has an S-equivariant c.p. splitting map, i.e., there is a c.p. map  $V: A \to E$  with  $\pi \circ V = \operatorname{id}_A$  and  $V(\psi_A(s)) \subset \psi_E(s)$  for all  $s \in S$ .
- (ii) The Busby invariant  $\gamma \colon A \to Q(B)$  is nuclear, and,

 $\pi_B(\mathcal{M}(B, \Phi(J))) \supset \gamma(J) \qquad \forall \ J \in \mathcal{I}(A)$ 

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# **Coherent I.q-compact spaces (1)**

A subset C of X is **saturated** if C = Sat(C), where Sat(C) means the intersection of all  $U \in \mathbb{O}(X)$  with  $U \supset C$ .

**Definition 10.** A sober  $T_0$  space X is called "coherent" if the intersection  $C_1 \cap C_2$  of two saturated quasi-compact subsets  $C_1, C_2 \subset X$  is again quasi-compact.

Now we give some partial results concerning the open **Question**:

Is every (second-countable) coherent locally quasicompact sober  $T_0$  space X homeomorphic to the primitive ideal spaces Prim(A) of some amenable  $C^*$ -algebra A?

#### Coherent I.q-compact spaces (2)

Let X a locally quasi-compact sober  $T_0$  space, and  $\mathcal{F}(X)$  the lattice of closed subsets  $F \subset X$ .

**Definition 11.** The topological space  $\mathcal{F}(X)_{lsc}$  is the set  $\mathcal{F}(X)$  with the  $T_0$  order topology that is generated by the complements

 $\mathcal{F}(X) \setminus [\emptyset, F] = \{ G \in \mathcal{F}(X) ; \ G \cap U \neq \emptyset \} =: \mu_U$ 

of the intervals  $[\emptyset, F]$  for all  $F \in \mathcal{F}(X)$  (where  $U = X \setminus F$ ).

The Fell-Vietoris topology on  $\mathcal{F}(X)$  is the topology, that is generated by the sets  $\mu_U$  ( $U \in \mathbb{O}(X)$ ) and the sets  $\mu_C := \{G \in \mathcal{F}(X); G \cap C = \emptyset\}$ for all quasi-compact  $C \subset X$ . (The induced topology on  $\mathbb{O}(X) \cong \mathcal{F}(X)^{op}$  is called Larson topology.)

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#### **Coherent I.q-compact spaces (3)**

The space  $\mathcal{F}(X)_{lsc}$  is a *coherent* second countable locally quasi-compact sober  $T_0$  space, and the space  $\mathcal{F}(X)_H$  (with Fell-Vietoris topology of Def. 11) is a *compact Polish* space.

**Definition 12.** A map  $f: X \to [0, \infty)$  is a **Dini function** if it is lower semi-continuous and  $\sup f(\bigcap_n F_n) = \inf_n \{\sup f(F_n)\}$  for every decreasing sequence  $F_1 \supset F_2 \supset \cdots$  of closed subsets of X.

There are several other definitions — e.g. by the generalized Dini Lemma — that are equivalent for all sober spaces.

For sober spaces X one has also that a function  $f: X \to [0, 1]$  is Dini, if and only if,  $f: X \to [0, 1]_{lsc}$  is continuous and the restriction  $f: X \setminus f^{-1}(0) \to (0, 1]_{lsc}$  is **proper**.

#### Coherent I.q-compact spaces (4)

The ordered Hilbert cube  $\mathbb{Q}$  is nothing else  $\mathcal{F}(Y)$ for  $Y := X_0 \uplus X_0 \uplus \cdots$  where  $X_0 := (0, 1]_{lsc}$ . The Fell-Vietoris topology is just the ordinary Hausdorff topology on  $\mathbb{Q}$ .

If X is locally quasi-compact sober  $T_0$  space, then a dense sequence  $g_1, g_2, \ldots$  in the Dini functions g on X with  $\sup g(X) = 1$  defines an order isomorphism  $\iota: \mathcal{F} \to \mathbb{Q}$  onto a max-closed subset  $\iota(\mathcal{F})$  of  $\mathbb{Q}$  with  $\iota(\emptyset) = 0, \ \iota(X) = 1$  (Construction of J.M.G. Fell in case of  $C^*$ -algebras):

$$\iota(F) := (\sup g_1(F), \sup g_2(F), \ldots) \in \mathbb{Q}.$$

The image  $\iota(\mathcal{F}(X))$  is closed in  $\mathbb{Q}$  (with Hausdorff topology) and  $\iota$  defines an isomorphism from  $\mathcal{F}(X)$  onto  $\iota(\mathcal{F}(X))$  with respect to both topologies on  $\mathcal{F}(X)$  and  $\mathbb{Q}$ .

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#### **Coherent I.q-compact spaces (5)**

In this way,  $X \cong \eta(X) \subset \overline{\eta(X)}^H \setminus \{0\} \subset \mathcal{F}(X) \subset \mathbb{Q}$ , considered as Polish spaces, with  $X \ni x \mapsto \eta(x) := \overline{\{x\}} \in \mathcal{F}(X)$ .

**Theorem 13.** Let X a second countable locally (quasi-)compact sober  $T_0$  space. Following properties (i)-(v) of X are equivalent:

- (i) X is coherent.
- (ii) The image  $\eta(X) \cong X$  in  $\mathcal{F}(X) \setminus \{\emptyset\}$  is closed in  $\mathcal{F}(X) \setminus \{\emptyset\}$  with respect to the Fell-Vietoris topology on  $\mathcal{F}(X)$ .
- (iii) The set  $\mathcal{D}(X)$  of Dini functions on X is convex.

(iv)  $\mathcal{D}(X)$  is min-closed.

(v)  $\mathcal{D}(X)$  is multiplicatively closed.

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#### **Coherent I.q-compact spaces (6)**

- **Lemma 14.** (I) Each closed subset  $F \subset \mathbb{Q}_H$  is a coherent sober subspace  $F_{lsc}$  of  $\mathbb{Q}_{lsc}$ , and is the intersection of an decreasing sequence  $F_k$  of closed subspaces of  $\mathbb{Q}_H$  that are continuously orderisomorphic to spaces  $G_k \times \mathbb{Q}$  with  $G_k \subset [0,1]^{n_k}$  a finite union of  $n_k$ -dimensional (small) cubes.
- (II) If  $F = \bigcap_k F_k$  for a sequence  $F_1 \supset F_2 \supset \cdots$  of closed subsets in  $\mathcal{F}(\mathbb{Q}_H)$ , and if each  $(F_k)_{lsc}$ is the primitive ideal space of an amenable  $C^*$ algebra, then  $F_{lsc}$  is the primitive ideal space of an amenable  $C^*$ -algebra.

### **Coherent I.q-compact spaces (7)**

**Corollary 15.** If there is a coherent sober l.c. space X that is not homeomorphic to the primitive ideal space of an amenable  $C^*$ -algebra, then there is  $n \in \mathbb{N}$  and a finite union Y of (Hausdorff-closed) cubes in  $[0,1]^n$  such that Y with induced order-topology is not the primitive ideal space of any amenable  $C^*$ -algebra.

**Theorem 16.** [O.B. loffe, E.K.] If  $G \subset [0,1]^n$  is a finite union of cubes, then the space  $G_{lsc}$  has a finite decomposition series  $U_1 \subset U_2 \subset \cdots \subset U_k$ , by open subsets  $U_{\ell} \subset G_{lsc}$  such that  $U_{\ell+1} \setminus U_{\ell}$  is the primitive ideal space of an amenable  $C^*$ -algebra.

It leads us to the following conjecture (open question).

# **Coherent I.q-compact spaces (8)**

**Conjecture 17.** Suppose that X is a locally quasicompact sober space, and  $U \subset X$  is open.

X is homeomorphic to the primitive ideal space of an amenable  $C^*$ -algebra if U and  $X \setminus U$  are homeomorphic to primitive ideal spaces of amenable  $C^*$ -algebras.

A proof of this Conjecture would imply that sober l.q-c. spaces are primitive ideal spaces of amenable  $C^*$ -algebras — if they have decomposition series by open subsets  $\{U_{\alpha}\}$  with coherent spaces  $U_{\alpha+1} \setminus U_{\alpha}$ .