

## 1. Analytic branches of eigenvalues and eigenforms , clustering

(Summary)

The main result of this course is the following theorem.

**Theorem 0.1** *Suppose  $M^n$  closed manifold equipped with a Morse function  $f$  with  $c_0$  critical points of index 0,  $c_1$  critical points of index 1,  $\dots$   $c_n$  critical points of index  $n$ , and a Riemannian metric  $g$ . Suppose that in the neighborhood of any critical point  $y \in Cr(f)$  exists a chart  $M \supset U_y$ ,  $\varphi_y : (U_y, y) \rightarrow (\mathbb{R}^n, 0)$  s.t.  $f \cdot \varphi_y^{-1} = f(y) - 1/2 \sum_{i \leq k} x_i^2 + 1/2 \sum_{i \geq k+1} x_i^2$  and  $(\varphi_y^{-1})^*g = \delta_{i,j}$ . Then*

- For any  $q$  one has the countable collection  $\mathcal{A}_q$  of **analytic functions in  $t$** ,  $\{\lambda_\alpha^q(t) \in \mathbb{R} \text{ and } \omega_\alpha^q(t) \in \Omega^q(M)\}$ , such that

1.  $\Delta_q^f(t)\omega_\alpha^q(t) = \lambda_\alpha^q(t)\omega_\alpha^q(t)$ ,

2.  $\|\omega_\alpha^q(t)\| = 1$ ,  $\omega_\alpha^q(t) \perp \omega_\beta^q(t)$  for  $\alpha \neq \beta$ .

3.  $\lambda_\alpha^q(t)$  exhaust the eigenvalues with multiplicity of  $\Delta_q^f$  and  $\omega_\alpha^q(t)$  provide a complete orthonormal system in the Hilbert space completion of  $\Omega^q(M)$ .

- For a generic set of smooth functions  $f$  the branches  $\lambda_\alpha^q(t)$  are simple (= of multiplicity one).

-The collection  $\mathcal{A}_q$  has the following properties:

1. exactly  $\dim H^r(M; \mathbb{R})$  branches  $\lambda_\alpha^q(t)$  are identically zero,

2. (Witten) exactly  $c_q$  branches  $\lambda_\alpha^q(t)$  satisfy  $\lambda_\alpha^q(t) \leq Ce^{-t}$  for  $t$  large enough,

3. For any  $N \in \mathbb{Z}_{>0}$  a precise number, depending on  $c_0, c_1, \dots, c_n$  and not on  $M$ , of branches  $\lambda_\alpha^q(t)$  satisfy  $\lim_{t \rightarrow \infty} \frac{\lambda_\alpha^q(t)}{t} = 2N$ .

As a consequence

$$\mathcal{A}_q = \mathcal{A}_q(0) \sqcup \mathcal{A}_q(1) \sqcup \mathcal{A}_q(2) \cdots \sqcup \mathcal{A}_q(N) \cdots \sqcup \mathcal{A}_q(\infty)$$

with  $\mathcal{A}_q(N)$  finite and  $\mathcal{A}_q(\infty)$  conjecturally =  $\emptyset$ .

Call  $\mathcal{A}_q(N)$  the  $N$ -th cluster and  $\mathcal{A}_q(0)$  the **virtually small spectral package**.

Define  $(\Omega_N^q(M)(t), d_q(t))$  the subcomplex of  $(\Omega^q(M), d_q(t))$  generated by the eigenforms  $\omega_\alpha^q(t)$  with  $\alpha$  corresponding to the eigenvalue branch with  $\lim_{t \rightarrow \infty} \frac{\lambda_\alpha^q(t)}{t} = 2N$ , equivalently the cluster  $\mathcal{A}_q(N)$ .

Consider the scaling  $S(t) : (C^q, \partial_q) \rightarrow (C^q, \partial_q(t))$  defined by

$$S_q(t)(E_y) = (\pi/t)^{n-2q/4} e^{-tf(x)} E_y,$$

( for  $y \in Cr_q(f)$ ,  $E_y \in Maps(Cr_q(f); \mathbb{R})$  with  $E_y(y') := \delta_{y,y'}$ )

In view of Helffer -Sjöstrand estimates the composition

$$(\Omega_0^q(M), d_q(t)) \xrightarrow{\subset} (\Omega_0^q(M), d_q(t)) \xrightarrow{e^{th}} \Omega^q(M), d_q(t) \xrightarrow{Int} (C^q, \partial_q) \xrightarrow{S(t)} (C^q, \partial_q(t))$$

is an "asymptotical an isometry", i.e. equal to  $I + O(1/t)$  with  $I$  an isometry (when  $C^q = Maps(Cr_q, \mathbb{R})$  is equipped with the scalar product which makes  $E'_x$ s orthonormal).

The fact that the composition  $Int \cdot e^{th} \cdot \subset$  is an isomorphism is referred to as Witten theorem.

Restrict to  $t = 0$  and obtain the collection of finite dimensional sub complexes of  $(\Omega^q(M)_N, d) \subset (\Omega^q(M), d)$  referred to as the  $N$ -th spectral package of the triple  $(M, g, f)$  The dimension of  $\Omega^q(M)_N = \#\mathcal{A}_q(N)$ . All of these complexes but for  $N = 0$  are acyclic and the one for  $N = 0$  is canonically isomorphic to the geometric complex hence carries the entire homological information about  $M$  plus more (to be studied).

### About the proof of the theorem

For the Morse function  $f$  denote by  $y \in Cr(f)$  a critical point and let  $k(y)$  the Morse index of  $y$ .

We want to prove that either  $\lim_{t \rightarrow \infty} \frac{\lambda_\alpha^q(t)}{t}$  is an even integer or the limit does not exist as a real number (an optimistic conjecture). Actually we want to show that for each  $\epsilon > 0$  and for each  $N$  there exists  $C, T$  positive real numbers s.t. for  $t > T$

$$Spect\Delta_q^f(t) \subset \sqcup_N(2N - Ct^{-\epsilon}, 2N + Ce^{-Ct^\epsilon})$$

Instead we will check that

$$Spect\Delta_q^f(t) \cap (2N + Ce^{-Ct^\epsilon}, 2N + 2 - Ct^{-\epsilon}, ) = \emptyset$$

by applying "the spectral gap" lemma with  $a = 2N + Ce^{-Ct^\epsilon}$  and  $b = (2N + 2) - Ct^{-\epsilon}$ .

For this purpose we will compare the formally self adjoint operator  $\Delta_q^f(t) : \Omega^q(M) \rightarrow \Omega^q(M)$  with the formally self adjoint operator  $D_q(t) : \Omega_S^q \rightarrow \Omega_S^q$ , referred to as the Model operator for the Morse function  $f$ ,

$D_q(t) := \bigoplus_{y \in Cr(f)} \Delta_q^{n, k(y)}(t)$ , on the space equipped with the scalar product induced by the metric  $\delta_{i,j}$  on each copy  $\mathbb{R}_y^n$  of  $\mathbb{R}^n$ .

$\Omega_S^q := \bigoplus_{y \in Cr(f)} \Omega_S^q(R_y^n) = \Omega_S^q(\sqcup_{y \in Cr(f)} \mathbb{R}_y^n)$  where  $R_y^n$  denotes a copy of  $\mathbb{R}^n$  for each critical point  $y$  and

$\Delta_q^{n, k}(t)$  is the Witten Laplacian for the Riemannian manifold  $\mathbb{R}^n$  equipped with the metric  $\delta_{i,j}$  and the Morse function

$$f_k(x_1, x_2, \dots, x_n) := -1/2 \sum_{i \leq k} x_i^2 + 1/2 \sum_{i \geq k+1} x_i^2.$$

This is what we refer to as the multidimensional (quantum) harmonic oscillator

Recall that  $\Omega_S^q(\mathbb{R}^n)$  denotes the  $q$ -forms on  $\mathbb{R}^n$  whose coefficients are rapidly decaying functions in  $n$ -variables. which is a direct sum of spaces  $\Omega_{S,I}^q(\mathbb{R}^n)$  indexed by symbols  $I = \{1 \leq i_1 < i_2 < \dots < i_q \leq n\}$ . A form  $\omega \in \Omega_{S,I}^q(\mathbb{R}^n)$  can be written uniquely as  $a(x_1, x_2, \dots, x_n) dx_{i_1} \wedge dx_{i_2} \wedge \dots \wedge dx_{i_q}$  with  $a(x_1, x_2, \dots, x_n) \in S$  and the operator  $\Delta_q^{n, k}(t)$  acts componentwise on the functions  $a(x_1, x_2, \dots, x_n)$  as

$$- \sum \partial^2 / \partial x_i^2 + t^2 \sum x_i^2 + \epsilon(I)t$$

with  $\epsilon(I) = \pm 1$ , 1 if  $k \in I$ , and  $-1$  otherwise.

The mathematics of the quantum harmonic oscillator implies that each eigenform of  $D_q(t)$  is a direct sum of forms

$$e^{-t|x|^2/2} H_{r_1}(\sqrt{t}x_1) \cdot H_{r_2}(\sqrt{t}x_2) \cdot \dots \cdot H_{r_n}(\sqrt{t}x_n)$$

with  $H_r(y)$  are the Hermite polynomials  $H_r(y) = y^r + \dots$  and eigenvalues are constant in  $t$  and always even integers.

The comparison leads to the conclusion that the indexing  $\mathcal{A}_q$  for  $D_q(t)$  is a subset of the indexing for  $\Delta_q^f(t)$  (it is conjecturally the same) and the limit of  $\lambda_\alpha^q(t)/t$  when  $t \rightarrow \infty$  are the same. for both operators when the case. To show this one proceeds as follows .

For any critical point  $y$  of  $f$  choose a Morse chart  $\varphi_y, \varphi_y : (U_y, y) \rightarrow (R^n, 0)$ , s.t. both the function  $f$  and the metric are in the standard form.

Choose  $l > 0$  s.t the disc of radius  $l$  in  $\mathbb{R}^n$  is contained in  $\varphi(U_y)$  for any  $y \in Cr(f)$  and a cutoff function  $\chi : \mathbb{R}^n \rightarrow [0, \infty)$  which is 1 for points in  $x \in \mathbb{R}^n$  with  $\sum x_i^2 \leq l'$  and 0 when  $\sum x_i^2 \geq l$  for  $0 < l' < l$ . Move using  $\varphi_y$  the forms  $\tilde{\omega}_\alpha^q(x, t) = \chi(|x|)\omega_\alpha^q(x, t)$  for all  $\alpha$  with  $\lambda_\alpha^q = 2Nt$  into the forms with compact support  $\tilde{\omega}_\alpha^q$  on  $M$ .

Define  $H_1$  the span of these forms and take  $H_2$  the orthogonal complement in the Hilbert space completion of  $\Omega^q(M)$ . For  $t$  very large this choice satisfies the requirement of spectral gap lemma..

A complete proof of the above theorem will be soon available . Please follow arXive I a couple of months or contact me personally for the preprint in preparation.